Technische Universität München Fakultät für Informatik Lehrstuhl für Theoretische Informatik Prof. Dr. Harald Räcke Richard Stotz, Dennis Kraft

Efficient Algorithms and Data Structures I

Deadline: December 5, 10:15 am in the Efficient Algorithms mailbox.

Homework 1 (3 Points)

In an ancient book on squirrel mathematics, Alexander discovers Algorithm 1. The description has almost faded, but seems to be squirrelnutco, its subroutine being called wicked. The description also indicates that the algorithm takes a boolean array A and an integer k as input.

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Algorithm 1: Squirrelnutco(A, k)
```

```
1 Algorithm squirrelnutco(A, k)
       for j = 0; j < k; j \leftarrow j + 1 do
\mathbf{2}
           wicked(A)
3
1 Procedure wicked(A)
\mathbf{2}
       i \leftarrow 0
       while i < A.length and A[i] == TRUE do
3
            A[i] \leftarrow \text{FALSE}
4
           i \leftarrow i + 1
\mathbf{5}
       if i < A.length then
6
            A[i] \leftarrow \text{TRUE}
7
```

- 1. Describe briefly what the procedure wicked does.
- 2. Using a suitable potential function, show that the amortized running time of procedure wicked during an execution of squirrelnutco is $\mathcal{O}(1)$.

Homework 2 (5 Points)

Show how to maintain a dynamic set U of numbers that supports the operation MIN-DIFF, which gives the magnitude of difference of the two closest numbers in U. Make the operations INSERT, DELETE, SEARCH, and MIN-DIFF as efficient as possible, and analyze their running times.

Example: If the set $U = \{1, 50, 99, 1024, 1066, 2016\}$, then MIN-DIFF(U) returns 1066 - 1024 = 42, since 1066 and 1024 are the two closest numbers in U.

Homework 3 (6 Points)

The Mean MEAN and the Mean Squared Error MSE of a set X of k integers $\{x_1, x_2, \ldots, x_k\}$ are defined as

$$MEAN(X) = \frac{1}{k} \sum_{i=1}^{k} x_i ,$$

$$MSE(X) = \frac{1}{k} \sum_{i=1}^{k} (x_i - MEAN(X))^2 .$$

We want to maintain a data structure D on a set of integers under the normal INIT, INSERT, DELETE, and FIND operations, as well as a MEAN operation, defined as follows:

- INIT(D): Create an empty structure D.
- INSERT(D, x): Insert x in D.
- DELETE(D, x): Delete x from D.
- FIND(D, x): Return pointer to x in D.
- MEAN(D, a, b): Return the mean of the set consisting of elements x in D with $a \le x \le b$.
- 1. Describe how to modify a standard red-black tree in order to implement D, such that INIT is supported in $\mathcal{O}(1)$ time and INSERT, DELETE, FIND, and MEAN are supported in $\mathcal{O}(\log n)$ time.
- 2. Describe how your tree must be augmented further in order to support calculating the Mean Squared Error of a subset, i.e. MSE(D, a, b), the MSE of the set consisting of element $x \in D$ with $a \leq x \leq b$. The calculation of the MSE should take at most $\mathcal{O}(\log n)$ steps.

Homework 4 (6 Points)

App-developer Andy Arpeggio wants to store information about the users of his new app *Tremolo* in a (a, 2a)-tree (i.e. a B-tree). After an immense marketing campaign, his app has 1999999 users.

Andy's old computer however has limited main memory of 8192 bytes. Each tree node holds a pointer to the parent, pointers to the child nodes and the keys. All pointers and the keys are 8 bytes large.

Initially, the root resides in the main memory and cannot be evicted from main memory. The rest of the tree resides on a slow hard drive. A disk access returns a block of 4096 bytes. Andy's goal is to minimize the number of disk accesses.

- 1. Andy fist practices his knowledge on (a, b)-trees by inserting the keys 4,7,10,2,15,22 sequentially in a (2, 4)-tree. Draw the tree after each insert.
- 2. How should Andy choose the parameter a of the tree for his app? Explain your choice!
- 3. With your choice of a, what is the maximum number of disk accesses needed during the search for a key? Note that Andy uses an external search tree.

Tutorial Exercise 1

Prove that there exists a sequence of n insert and delete operations on a (2,3)-tree s.t. the total number of split and merge operations performed is $\Omega(n \log n)$.

The more you think about what the B could mean, the more you learn about B-Trees, and that is good. - R. Bayer