6.2 Master Theorem

Lemma 1

Let $a \ge 1, b \ge 1$ and $\epsilon > 0$ denote constants. Consider the recurrence

$$T(n) = aT\left(\frac{n}{h}\right) + f(n) .$$

Case 1.

If
$$f(n) = \mathcal{O}(n^{\log_b(a) - \epsilon})$$
 then $T(n) = \Theta(n^{\log_b a})$.

Case 2.

If
$$f(n) = \Theta(n^{\log_b(a)} \log^k n)$$
 then $T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$, $k \ge 0$.

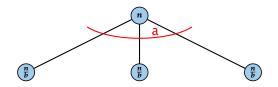
Case 3.

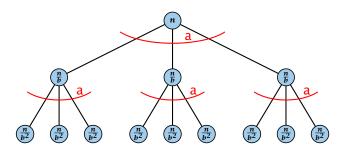
If
$$f(n) = \Omega(n^{\log_b(a) + \epsilon})$$
 and for sufficiently large n $af(\frac{n}{h}) \le cf(n)$ for some constant $c < 1$ then $T(n) = \Theta(f(n))$.

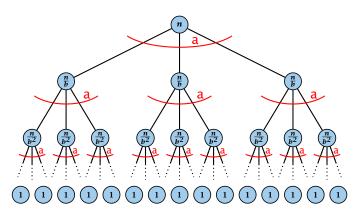
6.2 Master Theorem

We prove the Master Theorem for the case that n is of the form b^{ℓ} , and we assume that the non-recursive case occurs for problem size 1 and incurs cost 1.

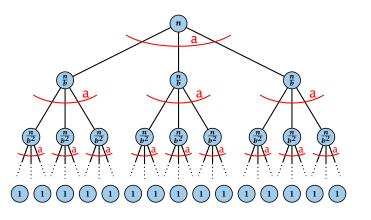




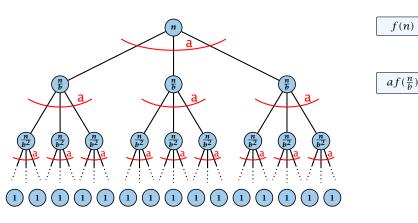


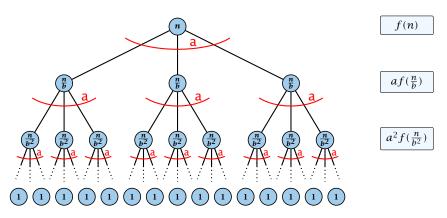


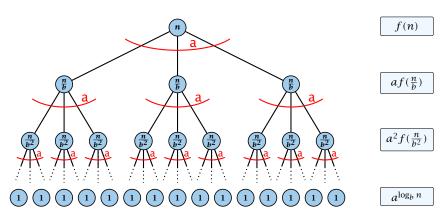
The running time of a recursive algorithm can be visualized by a recursion tree:

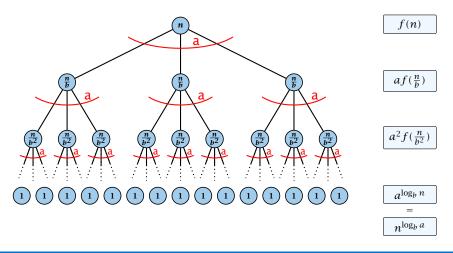


f(n)









6.2 Master Theorem

This gives

$$T(n) = n^{\log_b a} + \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right) .$$

$$T(n) - n^{\log_b a}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$b^{-i(\log_b a - \epsilon)} = b^{\epsilon i} (b^{\log_b a})^{-i} = b^{\epsilon i} a^{-i}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$b^{-i(\log_b a - \epsilon)} = b^{\epsilon i} (b^{\log_b a})^{-i} = b^{\epsilon i} a^{-i} = c n^{\log_b a - \epsilon} \sum_{i=0}^{\log_b a - \epsilon} (b^{\epsilon})^i$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$\underline{b^{-i(\log_b a - \epsilon)} = b^{\epsilon i}(b^{\log_b a})^{-i} = b^{\epsilon i}a^{-i}} = c n^{\log_b a - \epsilon} \sum_{i=0}^{\log_b n - 1} \left(b^{\epsilon}\right)^i$$

$$\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{a-1}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$\underline{b^{-i(\log_b a - \epsilon)} = b^{\epsilon i}(b^{\log_b a})^{-i} = b^{\epsilon i}a^{-i}} = c n^{\log_b a - \epsilon} \sum_{i=0}^{\log_b n - 1} (b^{\epsilon})^i$$

$$\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{a^{-1}} = c n^{\log_b a - \epsilon} (b^{\epsilon \log_b n} - 1) / (b^{\epsilon} - 1)$$

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$\underline{b^{-i(\log_b a - \epsilon)} = b^{\epsilon i}(b^{\log_b a})^{-i} = b^{\epsilon i}a^{-i}} = c n^{\log_b a - \epsilon} \sum_{i=0}^{\log_b n - 1} (b^{\epsilon})^i$$

$$\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{q-1} = c n^{\log_b a - \epsilon} (b^{\epsilon \log_b n} - 1)/(b^{\epsilon} - 1)$$

$$= c n^{\log_b a - \epsilon} (n^{\epsilon} - 1)/(b^{\epsilon} - 1)$$

53/64

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$= c n^{\log_b a - \epsilon} \sum_{i=0}^{\log_b n - 1} (b^{\epsilon})^i$$

$$\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{q - 1} = c n^{\log_b a - \epsilon} \left(b^{\epsilon \log_b n} - 1\right) / (b^{\epsilon} - 1)$$

$$= c n^{\log_b a - \epsilon} (n^{\epsilon} - 1) / (b^{\epsilon} - 1)$$

$$= \frac{c}{b^{\epsilon} - 1} n^{\log_b a} (n^{\epsilon} - 1) / (n^{\epsilon})$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$\log_b n - 1$$

$$\frac{b^{-i(\log_b a - \epsilon)} = b^{\epsilon i}(b^{\log_b a})^{-i} = b^{\epsilon i}a^{-i}}{\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{q - 1}} = cn^{\log_b a - \epsilon} \sum_{i=0} (b^{\epsilon})^i$$

$$\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{q - 1} = cn^{\log_b a - \epsilon}(b^{\epsilon \log_b n} - 1)/(b^{\epsilon} - 1)$$

$$= cn^{\log_b a - \epsilon}(n^{\epsilon} - 1)/(b^{\epsilon} - 1)$$

$$= \frac{c}{b^{\epsilon} - 1}n^{\log_b a}(n^{\epsilon} - 1)/(n^{\epsilon})$$

Hence,

$$T(n) \le \left(\frac{c}{h^{\epsilon} - 1} + 1\right) n^{\log_b(a)}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a - \epsilon}$$

$$\frac{b^{-i(\log_b a - \epsilon)} = b^{\epsilon i}(b^{\log_b a})^{-i} = b^{\epsilon i}a^{-i}}{b^{-i(\log_b a - \epsilon)}} = cn^{\log_b a - \epsilon} \sum_{i=0}^{\log_b n - 1} (b^{\epsilon})^i$$

$$\sum_{i=0}^k q^i = \frac{q^{k+1} - 1}{q-1} = cn^{\log_b a - \epsilon} (b^{\epsilon \log_b n} - 1)/(b^{\epsilon} - 1)$$

$$= cn^{\log_b a - \epsilon} (n^{\epsilon} - 1)/(b^{\epsilon} - 1)$$

$$= \frac{c}{b^{\epsilon} - 1} n^{\log_b a} (n^{\epsilon} - 1)/(n^{\epsilon})$$

Hence,

$$T(n) \le \left(\frac{c}{h^{\epsilon} - 1} + 1\right) n^{\log_b(a)}$$

$$\Rightarrow T(n) = \mathcal{O}(n^{\log_b a}).$$

$$T(n) - n^{\log_b a}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

$$= c n^{\log_b a} \log_b n$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$= c n^{\log_b a} \log_b n$$

Hence,

$$T(n) = \mathcal{O}(n^{\log_b a} \log_b n)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

$$= c n^{\log_b a} \log_b n$$

Hence,

$$T(n) = \mathcal{O}(n^{\log_b a} \log_b n)$$
 $\Rightarrow T(n) = \mathcal{O}(n^{\log_b a} \log n).$

$$T(n) - n^{\log_b a}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$
$$\geq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\geq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\geq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

$$= c n^{\log_b a} \sum_{i=0}^{\log_b n - 1} 1$$

$$= c n^{\log_b a} \log_b n$$

Hence,

$$T(n) = \mathbf{\Omega}(n^{\log_b a} \log_b n)$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\geq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a}$$

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Hence,

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$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a} \cdot \left(\log_b \left(\frac{n}{b^i}\right)\right)^k$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$n=b^\ell\Rightarrow \ell=\log_b n$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$\boxed{n = b^\ell \Rightarrow \ell = \log_b n} = c n^{\log_b a} \sum_{i=0}^{\ell - 1} \left(\log_b \left(\frac{b^\ell}{b^i}\right)\right)^k$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$= c n^{\log_b a} \sum_{i=0}^{\ell - 1} (\ell - i)^k$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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$$n = b^{\ell} \Rightarrow \ell = \log_b n$$

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$$= c n^{\log_b a} \sum_{i=0}^{\ell - 1} (\ell - i)^k$$

$$= c n^{\log_b a} \sum_{i=0}^{\ell} i^k \approx \frac{1}{k} \ell^{k+1}$$

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a} \cdot \left(\log_b \left(\frac{n}{b^i}\right)\right)^k$$

$$\boxed{n = b^\ell \Rightarrow \ell = \log_b n} = c n^{\log_b a} \sum_{i=0}^{\ell - 1} \left(\log_b \left(\frac{b^\ell}{b^i}\right)\right)^k$$

$$= c n^{\log_b a} \sum_{i=0}^{\ell - 1} (\ell - i)^k$$

$$= c n^{\log_b a} \sum_{i=1}^{\ell} i^k$$

$$\approx \frac{c}{b} n^{\log_b a} \ell^{k+1}$$

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq c \sum_{i=0}^{\log_b n - 1} a^i \left(\frac{n}{b^i}\right)^{\log_b a} \cdot \left(\log_b \left(\frac{n}{b^i}\right)\right)^k$$

$$n = b^{\ell} \Rightarrow \ell = \log_b n$$

$$= c n^{\log_b a} \sum_{i=0}^{\ell - 1} \left(\log_b \left(\frac{b^{\ell}}{b^i}\right)\right)^k$$

$$= c n^{\log_b a} \sum_{i=0}^{\ell - 1} (\ell - i)^k$$

$$= c n^{\log_b a} \sum_{i=1}^{\ell} i^k$$

$$\approx \frac{c}{b} n^{\log_b a} \ell^{k+1} \qquad \Rightarrow T(n) = \mathcal{O}(n^{\log_b a} \log^{k+1} n).$$



From this we get $a^i f(n/b^i) \le c^i f(n)$, where we assume that $n/b^{i-1} \ge n_0$ is still sufficiently large.

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$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq \sum_{i=0}^{\log_b n - 1} c^i f(n) + \mathcal{O}(n^{\log_b a})$$

$$q < 1: \sum_{i=0}^{n} q^{i} = \frac{1 - q^{n+1}}{1 - q} \le \frac{1}{1 - q}$$

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From this we get $a^if(n/b^i) \le c^if(n)$, where we assume that $n/b^{i-1} \ge n_0$ is still sufficiently large.

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

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Hence,

$$T(n) \leq \mathcal{O}(f(n))$$

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From this we get $a^i f(n/b^i) \le c^i f(n)$, where we assume that $n/b^{i-1} \ge n_0$ is still sufficiently large.

$$T(n) - n^{\log_b a} = \sum_{i=0}^{\log_b n - 1} a^i f\left(\frac{n}{b^i}\right)$$

$$\leq \sum_{i=0}^{\log_b n - 1} c^i f(n) + \mathcal{O}(n^{\log_b a})$$

$$q < 1: \sum_{i=0}^n q^i = \frac{1 - q^{n+1}}{1 - q} \leq \frac{1}{1 - c} f(n) + \mathcal{O}(n^{\log_b a})$$

Hence,

$$T(n) \leq \mathcal{O}(f(n))$$

$$\Rightarrow T(n) = \Theta(f(n)).$$

Suppose we want to multiply two n-bit Integers, but our registers can only perform operations on integers of constant size.

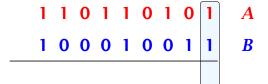
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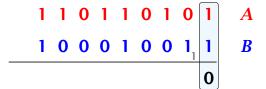
For this we first need to be able to add two integers \mathbf{A} and \mathbf{B} :



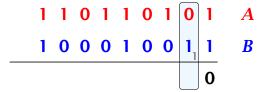
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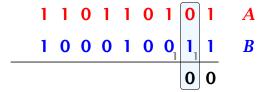
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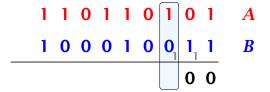
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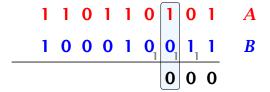
Suppose we want to multiply two n-bit Integers, but our registers can only perform operations on integers of constant size.



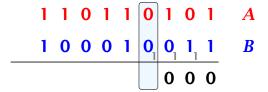
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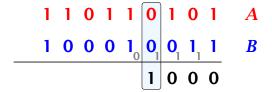
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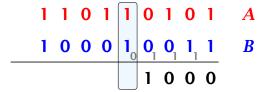
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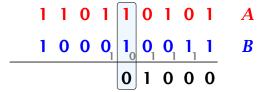
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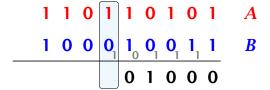
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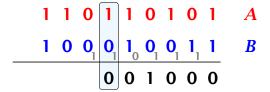
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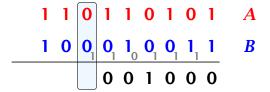
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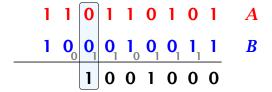
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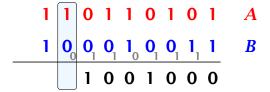


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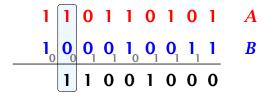
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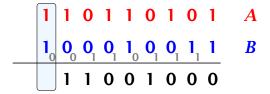


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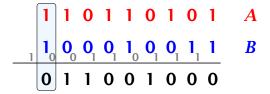
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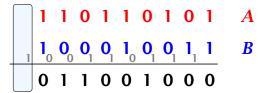
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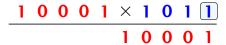
This gives that two n-bit integers can be added in time O(n).

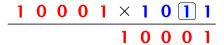
Suppose that we want to multiply an n-bit integer A and an m-bit integer B ($m \le n$).

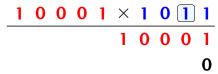
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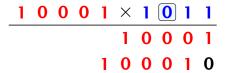




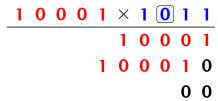




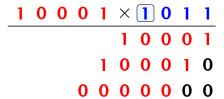
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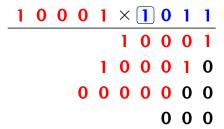


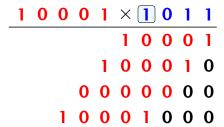
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1	0	0	0	1	X	1	0	1	1
					1	0	0	0	1
				1	0	0	0	1	0
			0	0	0	0	0	0	0
		1	0	0	0	1	0	0	0

1	0	0	0	1	×	1	0	1	1
					1	0	0	0	1
				1	0	0	0	1	0
			0	0	0	0	0	0	0
		1	0	0	0	1	0	0	0
		1	0	1	1	1	0	1	1

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			0	0	0	0	0	0	0
		1	0	0	0	1	0	0	0
		1	0	1	1	1	0	1	1

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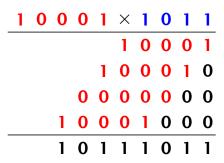
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					1	0	0	0	1
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			0	0	0	0	0	0	0
		1	0	0	0	1	0	0	0
		1	0	1	1	1	0	1	1

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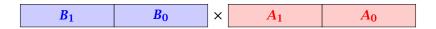
A recursive approach:

Suppose that integers **A** and **B** are of length $n = 2^k$, for some k.

$$b_{n-1} \cdots b_{\frac{n}{2}} b_{\frac{n}{2}-1} \cdots b_0 \times \boxed{a_{n-1} \cdots a_{\frac{n}{2}} a_{\frac{n}{2}-1} \cdots a_0}$$

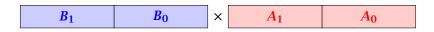
60/64

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$$A \cdot B = A_1 B_1 \cdot 2^n + (A_1 B_0 + A_0 B_1) \cdot 2^{\frac{n}{2}} + A_0 B_0$$

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6: $Z_1 \leftarrow \text{mult}(A_1, B_0) + \text{mult}(A_0, B_1)$	$2T(\frac{n}{2}) + \mathcal{O}(n)$
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We get the following recurrence:

$$T(n) = 4T\left(\frac{n}{2}\right) + \mathcal{O}(n) .$$

Master Theorem: Recurrence: $T[n] = aT(\frac{n}{b}) + f(n)$.

- ► Case 1: $f(n) = O(n^{\log_b a \epsilon})$ $T(n) = O(n^{\log_b a})$
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We get the following recurrence:

$$T(n) = 3T\left(\frac{n}{2}\right) + \mathcal{O}(n) .$$

Master Theorem: Recurrence: $T[n] = aT(\frac{n}{b}) + f(n)$.

- ► Case 1: $f(n) = \mathcal{O}(n^{\log_b a \epsilon})$ $T(n) = \Theta(n^{\log_b a})$
- ► Case 2: $f(n) = \Theta(n^{\log_b a} \log^k n)$ $T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$
- Case 3: $f(n) = \Omega(n^{\log_b a + \epsilon})$ $T(n) = \Theta(f(n))$

Again we are in Case 1. We get a running time of $\Theta(n^{\log_2 3}) \approx \Theta(n^{1.59})$.

A huge improvement over the "school method".