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- ▶ Running time should be expressed by simple functions.

# Asymptotic Notation

## Formal Definition

Let  $f, g$  denote functions from  $\mathbb{N}$  to  $\mathbb{R}^+$ .

- ▶  $\mathcal{O}(f) = \{g \mid \exists c > 0 \exists n_0 \in \mathbb{N}_0 \forall n \geq n_0 : [g(n) \leq c \cdot f(n)]\}$   
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There is an equivalent definition using limes notation (**assuming that the respective limes exists**).  $f$  and  $g$  are functions from  $\mathbb{N}_0$  to  $\mathbb{R}_0^+$ .

$$\blacktriangleright g \in \mathcal{O}(f): 0 \leq \lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} < \infty$$

- Note that for the version of the Landau notation defined here, we assume that  $f$  and  $g$  are positive functions.
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## Abuse of notation

1. People write  $f = \mathcal{O}(g)$ , when they mean  $f \in \mathcal{O}(g)$ . This is **not** an equality (how could a function be equal to a set of functions).

2. In this context  $f(n)$  does **not** mean the function  $f$  evaluated at  $n$ , but instead it is a shorthand for the function itself (leaving out domain and codomain and only giving the rule of correspondence of the function).

3. This is particularly useful if you do not want to ignore constant factors. For example the median of  $n$  elements can be determined using  $\frac{3}{2}n + o(n)$  comparisons.



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3. People write e.g.  $h(n) = f(n) + o(g(n))$  when they mean that there exists a function  $z : \mathbb{N} \rightarrow \mathbb{R}^+, n \mapsto z(n), z \in o(g)$  such that  $h(n) = f(n) + z(n)$ .

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4. People write  $\mathcal{O}(f(n)) = \mathcal{O}(g(n))$ , when they mean  $\mathcal{O}(f(n)) \subseteq \mathcal{O}(g(n))$ . Again this is not an equality.

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# Asymptotic Notation in Equations

How do we interpret an expression like:

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Note that  $\Theta(n)$  is on the right hand side, otw. this interpretation is wrong.

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How do we interpret an expression like:

$$2n^2 + \mathcal{O}(n) = \Theta(n^2)$$

Regardless of how we choose the anonymous function  $f(n) \in \mathcal{O}(n)$  there is an anonymous function  $g(n) \in \Theta(n^2)$  that makes the expression true.



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**Careful!**

# Asymptotic Notation in Equations

The  $\Theta(i)$ -symbol on the left represents **one** anonymous function  $f : \mathbb{N} \rightarrow \mathbb{R}^+$ , and then  $\sum_i f(i)$  is computed.

How do we interpret an expression like:

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**Careful!**

“It is understood” that every occurrence of an  $\Theta$ -symbol (or  $\Theta, \Omega, o, \omega$ ) on the left represents **one anonymous function**.

Hence, the left side is **not** equal to

$$\Theta(1) + \Theta(2) + \dots + \Theta(n)$$

$\Theta(1) + \Theta(2) + \dots + \Theta(n-1) + \Theta(n)$  does not really have a reasonable interpretation.

# Asymptotic Notation in Equations

We can view an expression containing asymptotic notation as generating a set:

$$n^2 \cdot \mathcal{O}(n) + \mathcal{O}(\log n)$$

represents

$$\{f : \mathbb{N} \rightarrow \mathbb{R}^+ \mid f(n) = n^2 \cdot g(n) + h(n)\}$$

with  $g(n) \in \mathcal{O}(n)$

Recall that according to the previous slide e.g. the expressions  $\sum_{i=1}^n \mathcal{O}(i)$  and  $\sum_{i=1}^{n/2} \mathcal{O}(i) + \sum_{i=n/2+1}^n \mathcal{O}(i)$  generate different sets.

# Asymptotic Notation in Equations

Then an asymptotic equation can be interpreted as containment btw. two sets:

$$n^2 \cdot \mathcal{O}(n) + \mathcal{O}(\log n) = \Theta(n^2)$$

represents

$$n^2 \cdot \mathcal{O}(n) + \mathcal{O}(\log n) \subseteq \Theta(n^2)$$

Note that the equation does not hold.

# Asymptotic Notation

## Lemma 1

Let  $f, g$  be functions with the property

$\exists n_0 > 0 \forall n \geq n_0 : f(n) > 0$  (the same for  $g$ ). Then

- ▶  $c \cdot f(n) \in \Theta(f(n))$  for any constant  $c$

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The expressions also hold for  $\Omega$ . Note that this means that  $f(n) + g(n) \in \Theta(\max\{f(n), g(n)\})$ .

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Therefore, we will usually ignore the base of a logarithm within asymptotic notation.
- ▶ In general  $\log n = \log_2 n$ , i.e., we use 2 as the default base for the logarithm.

# Asymptotic Notation

In general asymptotic classification of running times is a good measure for comparing algorithms:

- ▶ If the running time analysis is tight and actually occurs in practise (i.e., the asymptotic bound is not a purely theoretical worst-case bound), then the algorithm that has better asymptotic running time will always outperform a weaker algorithm for large enough values of  $n$ .

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  - ▶ Algorithm B. Running time  $g(n) = \log^2 n$ .

Clearly  $f = o(g)$ . However, as long as  $\log n \leq 1000$  Algorithm B will be more efficient.

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# Multiple Variables in Asymptotic Notation

## Example 2

▶  $f : \mathbb{N} \rightarrow \mathbb{R}_0^+$ ,  $f(n, m) = 1$  und  $g : \mathbb{N} \rightarrow \mathbb{R}_0^+$ ,  $g(n, m) = n - 1$

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then:  $f = \mathcal{O}(g)$
- ▶  $f : \mathbb{N}_0 \rightarrow \mathbb{R}_0^+$ ,  $f(n, m) = 1$  und  $g : \mathbb{N}_0 \rightarrow \mathbb{R}_0^+$ ,  $g(n, m) = n$

# Multiple Variables in Asymptotic Notation

## Example 2

- ▶  $f : \mathbb{N} \rightarrow \mathbb{R}_0^+$ ,  $f(n, m) = 1$  und  $g : \mathbb{N} \rightarrow \mathbb{R}_0^+$ ,  $g(n, m) = n - 1$   
then  $f = \mathcal{O}(g)$  does not hold
- ▶  $f : \mathbb{N} \rightarrow \mathbb{R}_0^+$ ,  $f(n, m) = 1$  und  $g : \mathbb{N} \rightarrow \mathbb{R}_0^+$ ,  $g(n, m) = n$   
then:  $f = \mathcal{O}(g)$
- ▶  $f : \mathbb{N}_0 \rightarrow \mathbb{R}_0^+$ ,  $f(n, m) = 1$  und  $g : \mathbb{N}_0 \rightarrow \mathbb{R}_0^+$ ,  $g(n, m) = n$   
then  $f = \mathcal{O}(g)$  does not hold