



Winter Semester 2021/22

# Advanced Algorithms

<http://www14.in.tum.de/lehre/2021WS/ada/index.html.en>

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## Lectures:

3 SWS

We start with electronic sessions, may change to face-to-face meetings depending on the number of students and the availability of a suitable room.

**Recorded lectures;** available via Moodle.

**Zoom sessions, Thursday 2:00pm to 5:00pm**

**<https://tum-conf.zoom.us/j/66135756606>**

**Meeting-ID: 661 3575 6606    Access Code: 849706**

## Exercises:

2 SWS

Online sessions

Teaching assistant:

**Sebastian Schubert** (sebastian.schubert@tum.de)

- Problem sets:** Made available on Monday by 10:00 am via Moodle and on the course webpage.  
Must be turned in one week later by 10:00 am via Moodle. Submissions by teams of two students.
- Bonus:** If at least 50% of the maximum number of points of the homework assignments are attained, then the grade of the final exam, if passed, improves by 0.3 (or 0.4).
- Exam:** Written exam, date will be announced.
- Valuation:** 6 ECTS (3 + 2 SWS)
- Prerequisites:** Grundlagen: Algorithmen und Datenstrukturen (GAD)  
Diskrete Strukturen (DS)  
Diskrete Wahrscheinlichkeitstheorie (DWT)

# Literature

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- Th. Cormen, C. Leiserson, R. Rivest, and C. Stein. Introduction to Algorithms, Third Edition, MIT Press, 2009.
- J. Kleinberg and E. Tardos. Algorithm Design. Pearson, Addison Wesley, 2006.
- M. Mitzenmacher and E. Upfal. Probability and Computing: Randomization and Probabilistic Techniques in Algorithms and Data Analysis. Second Edition, Cambridge University Press, 2017.
- Th. Ottmann und P. Widmayer: Algorithmen und Datenstrukturen. 6. Auflage, Springer Verlag, 2017.
- Research papers

## Design and analysis techniques for algorithms

- Divide and conquer
- Greedy approaches
- Dynamic programming
- Randomization
- Amortized analysis

## Problems and application areas:

- Geometric algorithms
- Algebraic algorithms
- Graph algorithms
- Data structures
- Algorithms on strings
- Optimization problems
- Complexity

# 01 - Divide and Conquer



# The divide-and-conquer paradigm

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- Quicksort
- Formulation and analysis of the paradigm
- **Geometric divide-and-conquer**
  - Closest pair problem
  - Line segment intersection
  - Voronoi diagrams



# Quicksort: Sorting by partitioning



```

function Quick (S: sequence): sequence;
{returns the sorted sequence S}
begin
  if #S ≤ 1 then Quick:=S;
  else { choose pivot/splitter element v in S;
        partition S into Sl with elements ≤ v,
        and Sr with elements ≥ v;
        Quick:= Quick(Sl) | v | Quick(Sr) }
  end;
  
```

# Formulation of the D&C paradigm

Divide-and-conquer method for solving a problem instance of size  $n$ :

## 1. Divide

$n > c$ : Divide the problem into  $k$  subproblems of sizes  $n_1, \dots, n_k$  ( $k \geq 2$ ).

$n \leq c$ : Solve the problem directly.

## 2. Conquer

Solve the  $k$  subproblems in the same way (recursively).

## 3. Merge

Combine the partial solutions to generate a solution for the original instance.

$T(n)$  : maximum number of steps necessary for solving an instance of size  $n$

$$T(n) = \begin{cases} a & n \leq c \\ T(n_1) + \dots + T(n_k) \\ \quad + \text{cost for divide and merge} & n > c \end{cases}$$

**Special case:**  $k = 2, n_1 = n_2 = n/2$   
**cost for divide and merge:**  $DM(n)$

$$T(1) = a$$

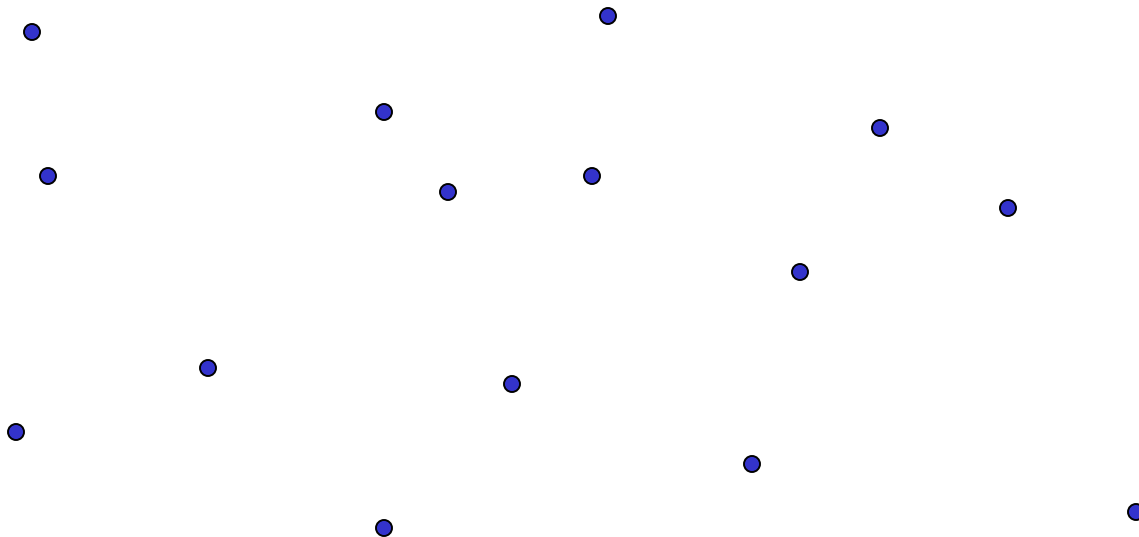
$$T(n) = 2T(n/2) + DM(n)$$

# Geometric divide-and-conquer

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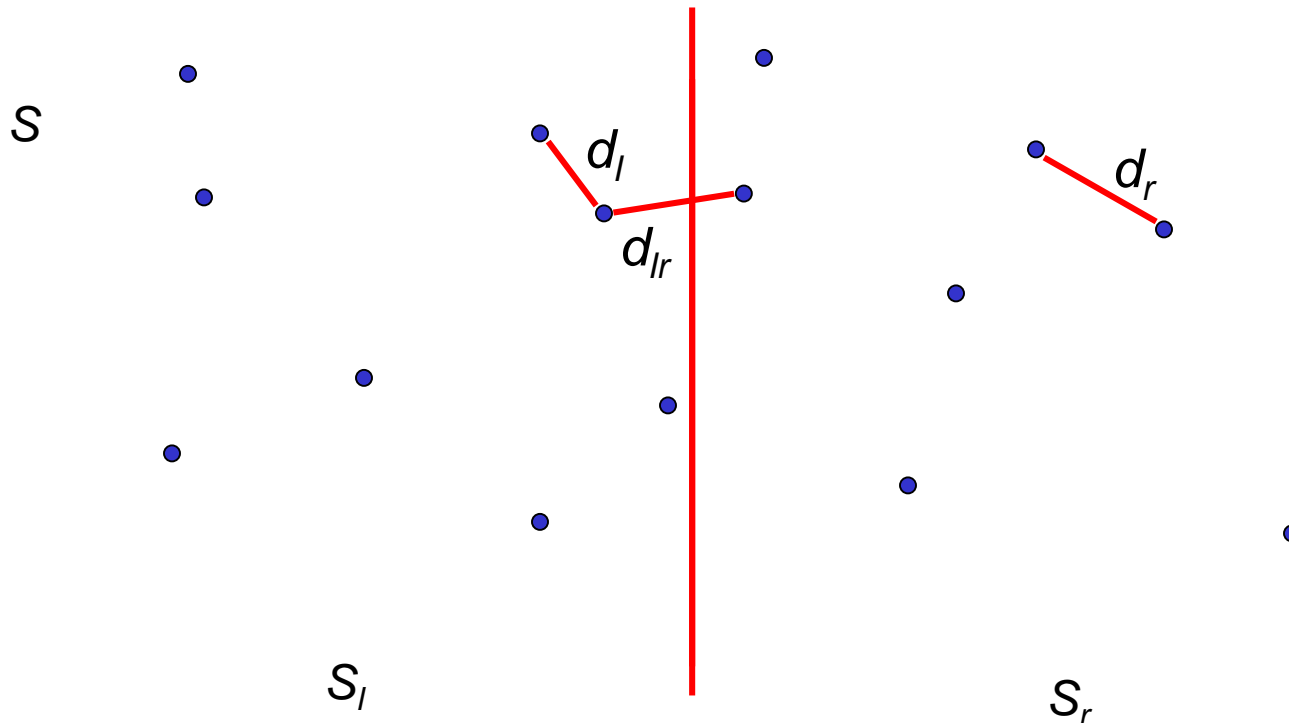
## Closest Pair Problem:

Given a set  $S$  of  $n$  points in the plane, find a pair of points with the **smallest distance**.



# Divide-and-conquer method

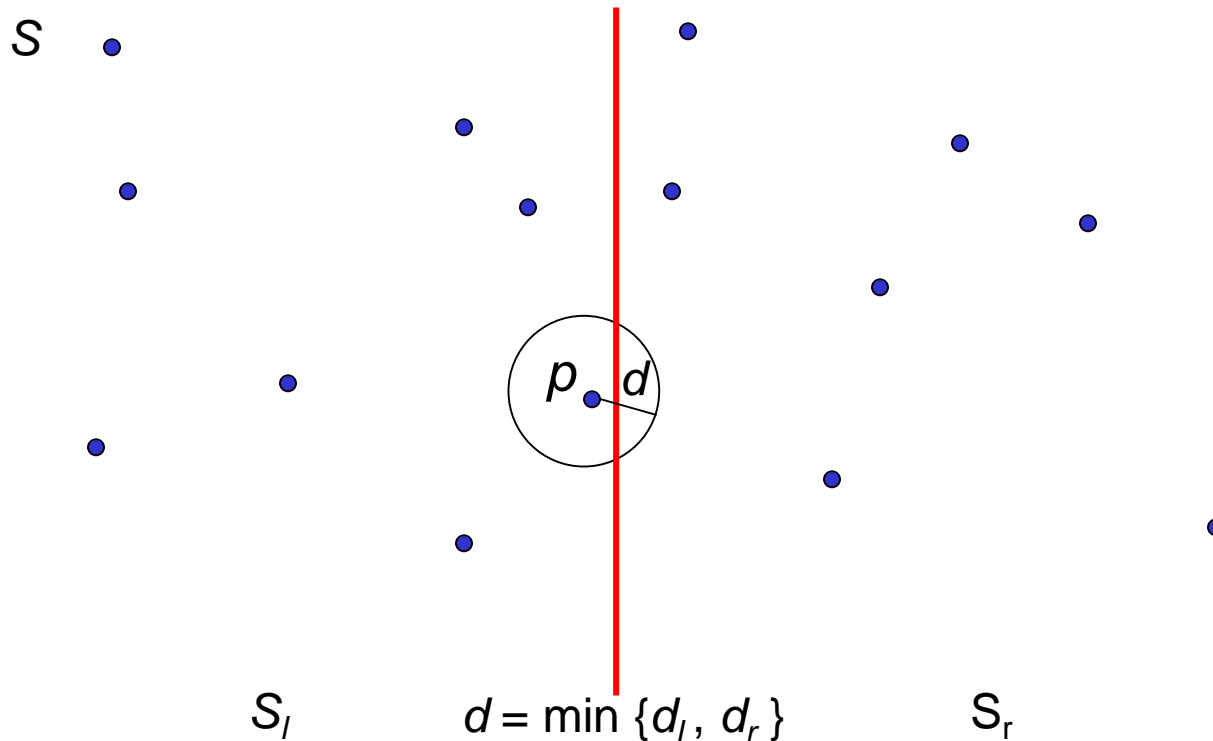
1. **Divide:** Divide  $S$  into two equal sized sets  $S_l$  und  $S_r$ .
2. **Conquer:**  $d_l = \text{mindist}(S_l)$      $d_r = \text{mindist}(S_r)$
3. **Merge:**  $d_{lr} = \min\{ d(p_l, p_r) \mid p_l \in S_l, p_r \in S_r \}$   
return  $\min\{d_l, d_r, d_{lr}\}$



# Divide-and-conquer method

1. **Divide:** Divide  $S$  into two equal sets  $S_l$  and  $S_r$ .
2. **Conquer:**  $d_l = \text{mindist}(S_l)$      $d_r = \text{mindist}(S_r)$
3. **Merge:**  $d_{lr} = \min\{ d(p_l, p_r) \mid p_l \in S_l, p_r \in S_r \}$   
return  $\min\{d_l, d_r, d_{lr}\}$

## Computation of $d_{lr}$ :

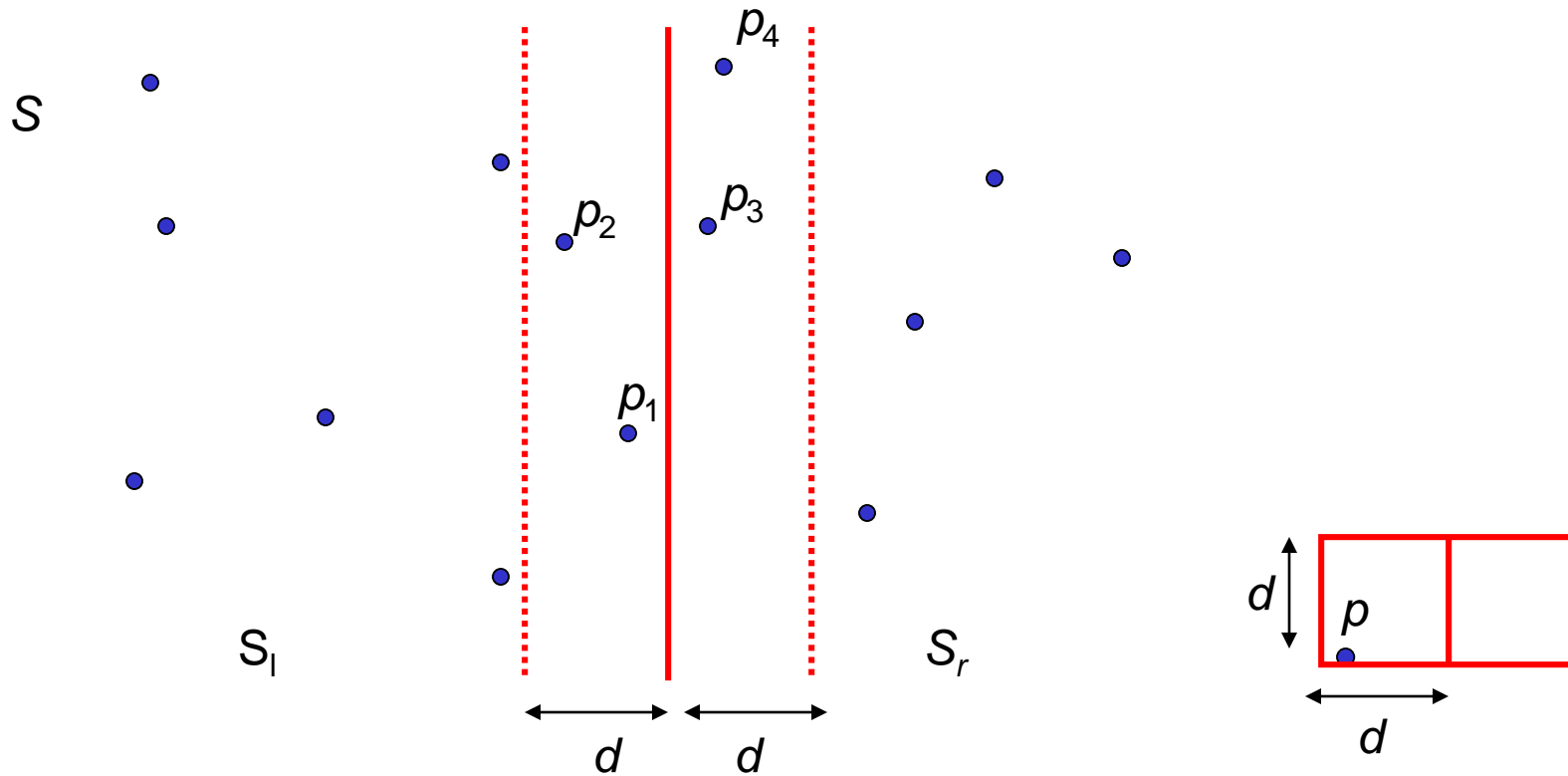


# Merge step

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1. Consider only points **within distance  $d$  of the bisection line**, in the order of increasing y-coordinates.
2. For each point  $p$  consider all points  $q$  **within y-distance at most  $d$** ; there are at most 7 such points.

# Merge step



$$d = \min \{ d_l, d_r \}$$



# Implementation

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- Initially sort the points in  $S$  in order of increasing  $x$ -coordinates  $O(n \log n)$ .  
Each bisection line can be determined in  $O(1)$  time.
- Once the subproblems  $S_l, S_r$  are solved, generate a list of the points in  $S$  in order of increasing  $y$ -coordinates.  
This can be done by **merging the sorted lists of points** of  $S_l, S_r$  (merge sort).

# Running time (divide-and-conquer)

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$$T(n) = \begin{cases} 2T(n/2) + an & n > 3 \\ a & n \leq 3 \end{cases}$$

- Guess the solution by repeated substitution.
- Verify by induction.

**Solution:  $O(n \log n)$**

# Guess by repeated substitution

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$$T(n) = \begin{cases} 2T(n/2) + an & n > 3 \\ a & n \leq 3 \end{cases}$$

$$\begin{aligned} T(n) &= 2T(n/2) + an = 2(2T(n/4) + an/2) + an \\ &= 4T(n/4) + 2an = 4(2T(n/8) + an/4) + 2an \\ &= 8T(n/8) + 3an = 8(2T(n/16) + an/8) + 3an \\ &= 16T(n/16) + 4an \end{aligned}$$

# Verify by induction

$$T(n) \leq an \log n \quad T(n) = \begin{cases} 2T(n/2) + an & n > 3 \\ a & n \leq 3 \end{cases}$$

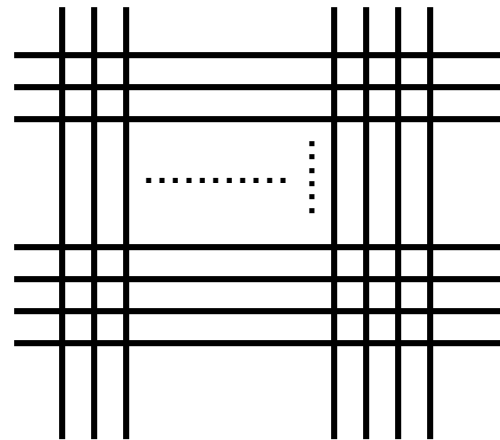
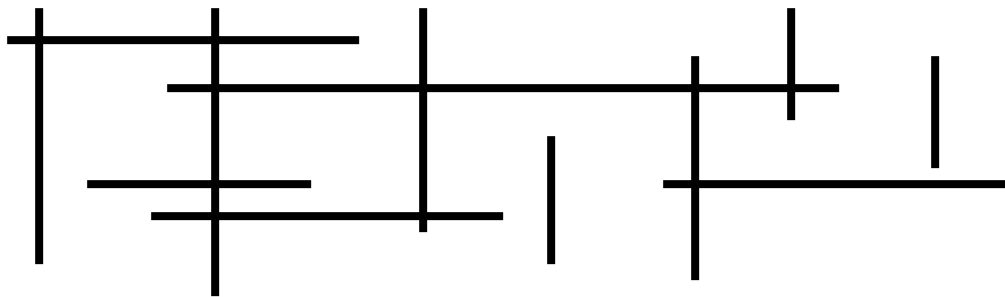
$$n = 2^i$$

$$i = 1: \text{ ok}$$

$$\begin{aligned} i > 1 \quad T(2^i) &= 2T(2^{i-1}) + a2^i \\ &\leq 2a2^{i-1}(i-1) + a2^i \\ &= a2^i(i-1) + a2^i \\ &= a2^i i \\ &= an \log n \end{aligned}$$

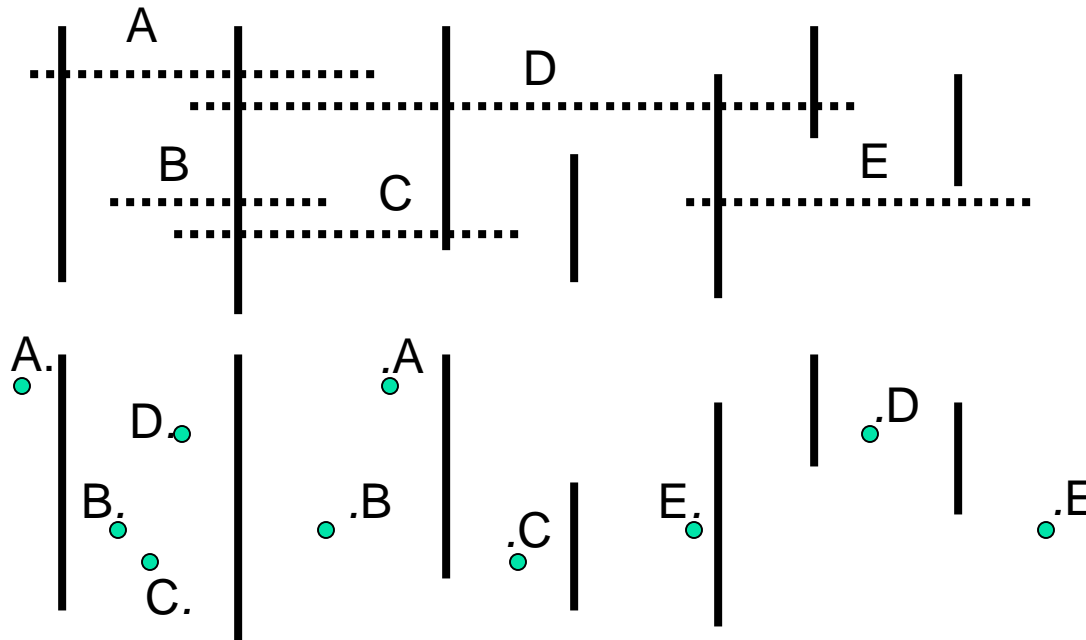
# Line segment intersection

Find all pairs of intersecting line segments.



# Line segment intersection

Find all pairs of intersecting line segments.



The representation of the horizontal line segments by their endpoints allows for a vertical partitioning of all objects.

**Input:** Set  $S$  of vertical line segments and endpoints of horizontal line segments.

**Output:** All intersections of vertical line segments with horizontal line segments, for which at least one endpoint is in  $S$ .

## 1. Divide

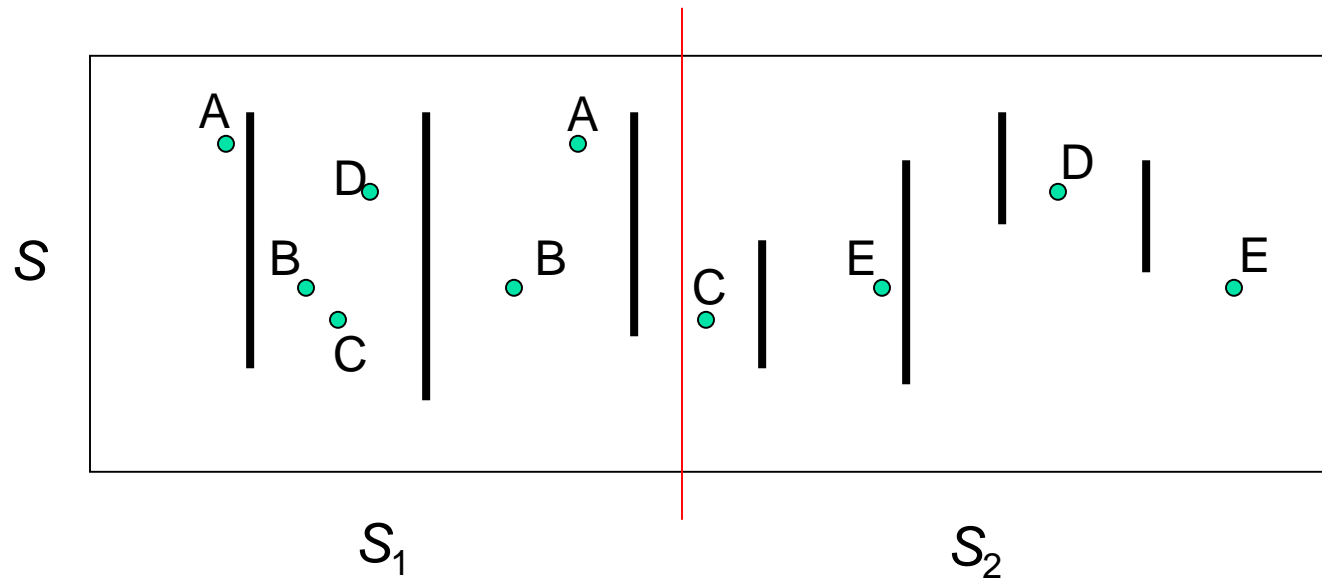
**if**  $|S| > 1$

**then** using vertical bisection line  $L$ , divide  $S$  into equal size sets  $S_1$  (to the left of  $L$ ) and  $S_2$  (to the right of  $L$ )

**else**  $S$  contains no intersections

# ReportCuts

## 1. Divide:



## 2. Conquer:

$\text{ReportCuts}(S_1); \text{ReportCuts}(S_2)$

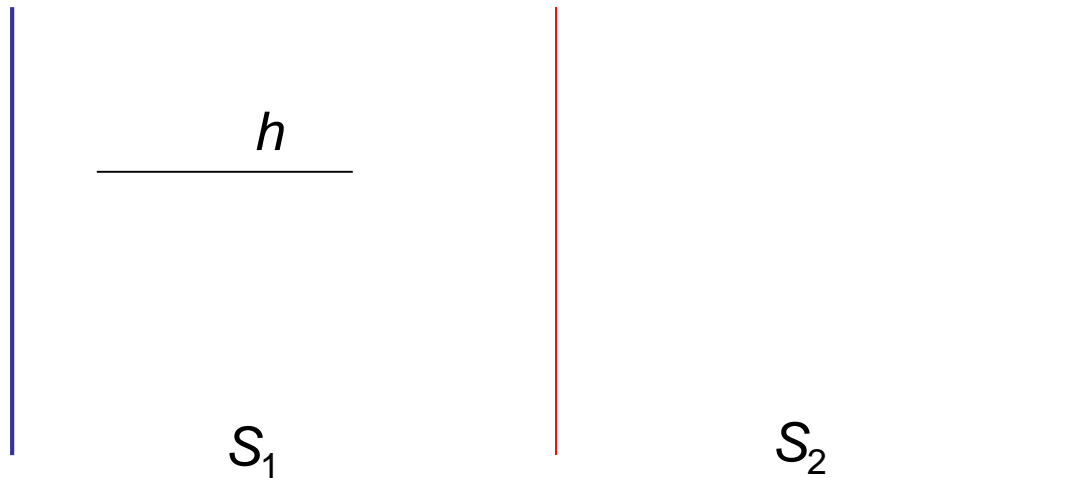


# ReportCuts

## 3. Merge: ???

Possible intersections of a horizontal line segment  $h$  in  $S_1$

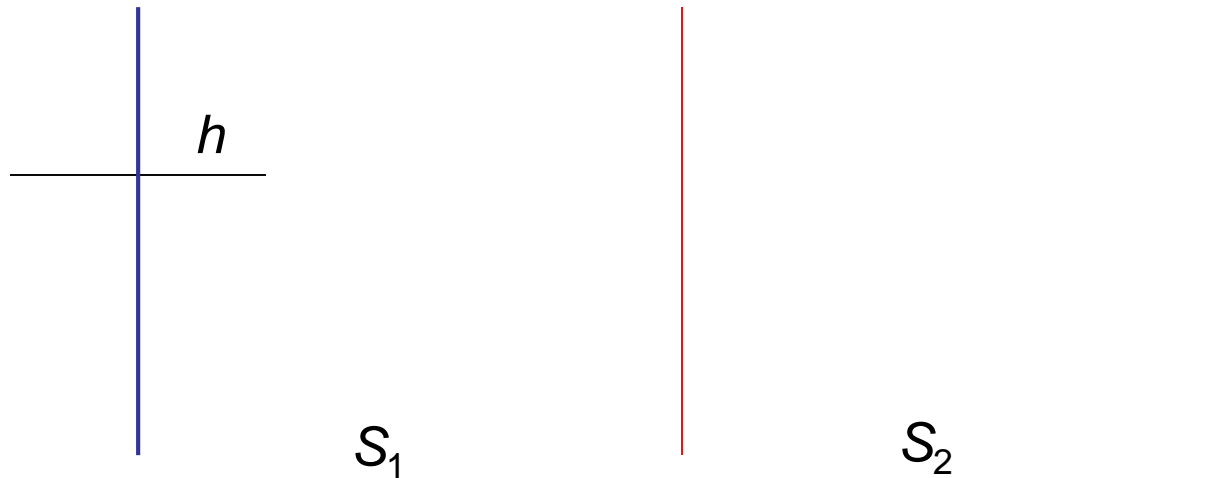
**Case 1:** both endpoints in  $S_1$



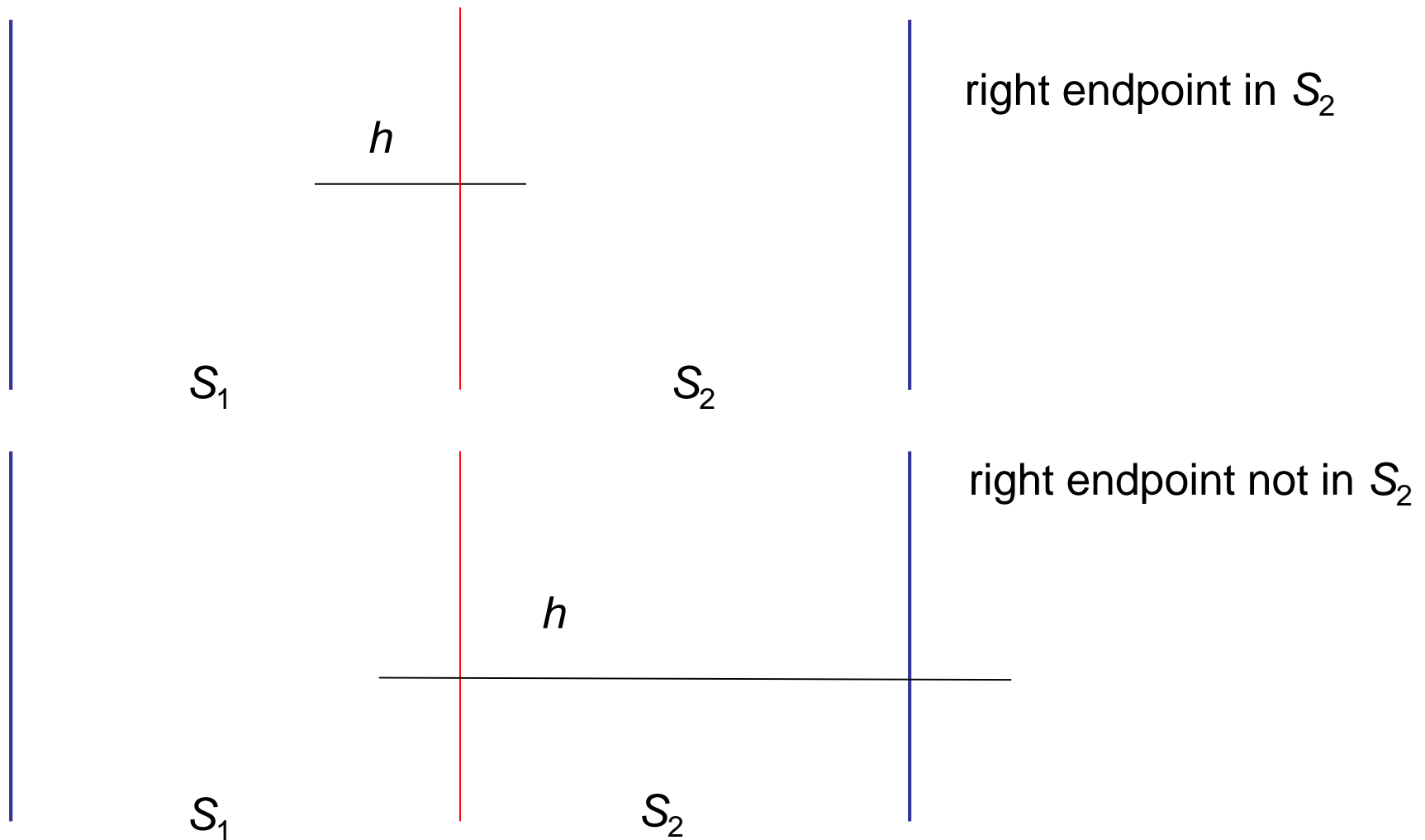
# ReportCuts

**Case 2:** only one endpoint of  $h$  in  $S_1$

**2 a)** right endpoint in  $S_1$



## 2 b) left endpoint of $h$ in $S_1$

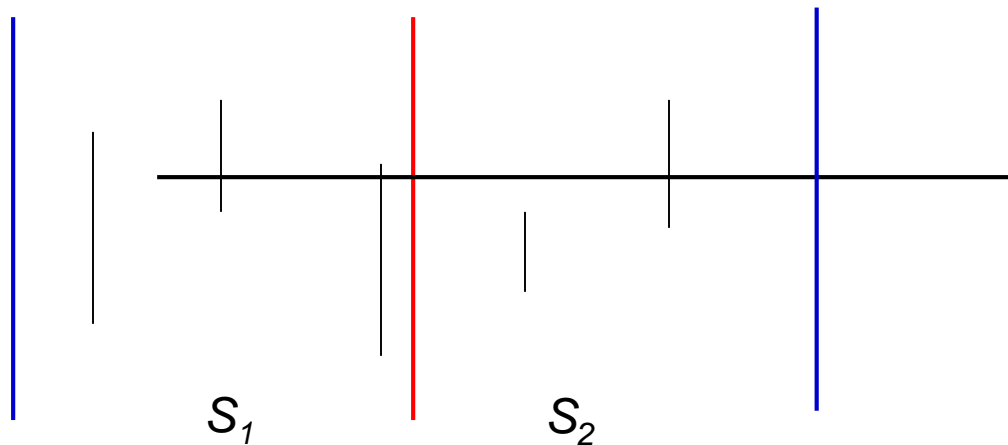


# Procedure: ReportCuts( $S$ )

## 3. Merge:

Return the intersections of vertical line segments in  $S_2$  with horizontal line segments in  $S_1$ , for which the left endpoint is in  $S_1$  and the right endpoint is neither in  $S_1$  nor in  $S_2$ .

Proceed analogously for  $S_1$ .



# Implementation

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Set  $S$

$L(S)$ :  $y$ -coordinates of all segments whose left endpoint is in  $S$ , but right endpoint is not in  $S$ .

$R(S)$ :  $y$ -coordinates of all segments whose right endpoint is in  $S$ , but left endpoint is not in  $S$ .

$V(S)$ :  $y$ -intervals of all vertical line segments in  $S$ .

# Base cases

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S contains only one element  $e$ .

**Case 1:**  $e = (x, y)$  is a left endpoint of horizontal line segment  $s$

$$L(S) = \{(y, s)\} \quad R(S) = \emptyset \quad V(S) = \emptyset$$

**Case 2:**  $e = (x, y)$  is a right endpoint of horizontal line segment  $s$

$$L(S) = \emptyset \quad R(S) = \{(y, s)\} \quad V(S) = \emptyset$$

**Case 3:**  $e = (x, y_1, y_2)$  is a vertical line segment  $s$

$$L(S) = \emptyset \quad R(S) = \emptyset \quad V(S) = \{([y_1, y_2], s)\}$$

# Merge step

Assume that  $L(S_i)$ ,  $R(S_i)$ ,  $V(S_i)$  are known for  $i = 1, 2$ .

$$S = S_1 \cup S_2$$

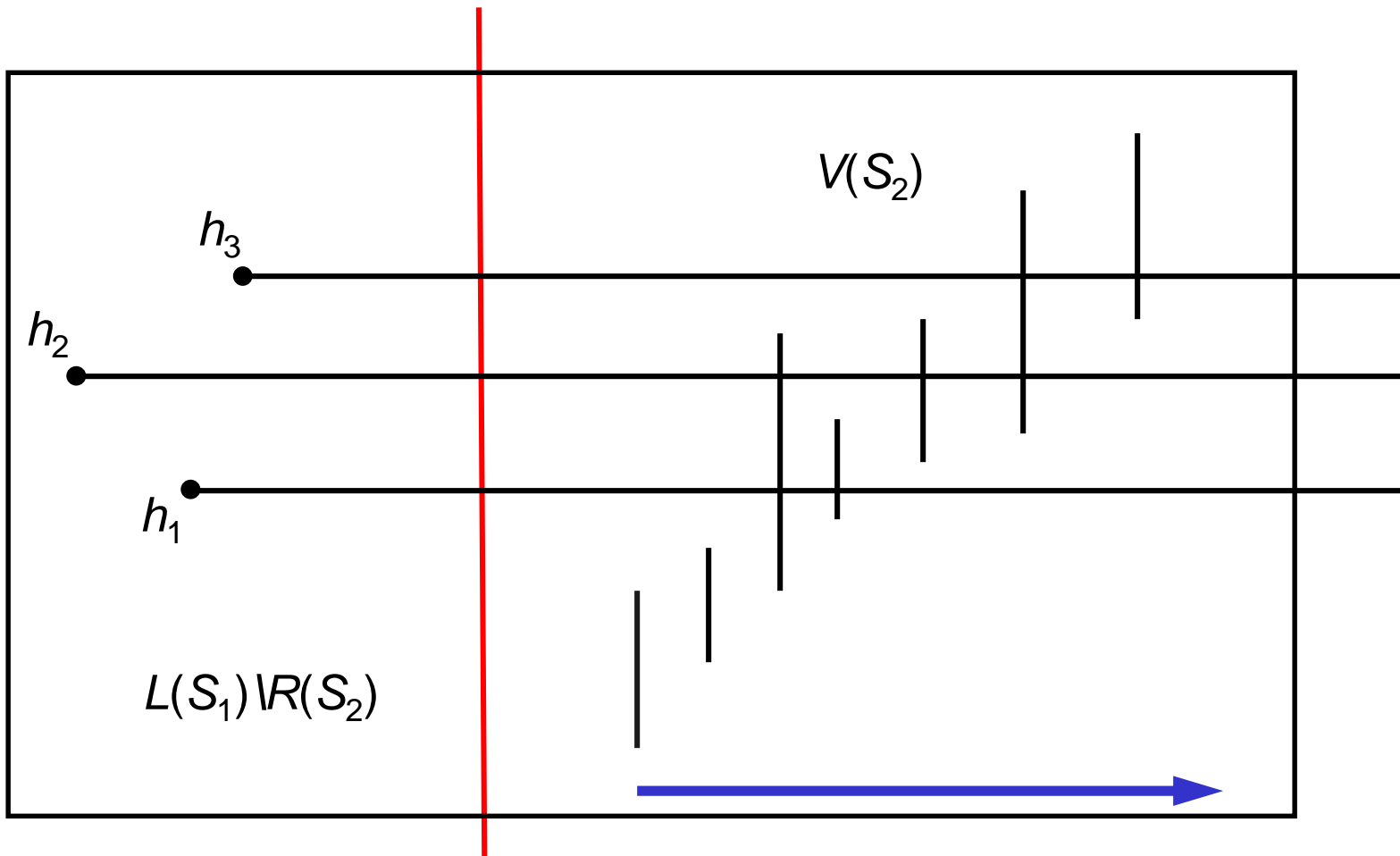
$$L(S) = L(S_1) \setminus R(S_2) \cup L(S_2)$$

$$R(S) = R(S_2) \setminus L(S_1) \cup R(S_1)$$

$$V(S) = V(S_1) \cup V(S_2)$$

- $L$ ,  $R$ : ordered by increasing y-coordinates (and segment number)  
linked lists
- $V$ : ordered by increasing lower endpoints  
linked list

# Output of the intersections





# Running time

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Initially, the input (vertical line segments, left/right endpoints of horizontal line segments) has to be **sorted and stored in an array**.

## Divide-and-conquer:

$$T(n) = 2T(n/2) + a \cdot n + \text{size of output}$$

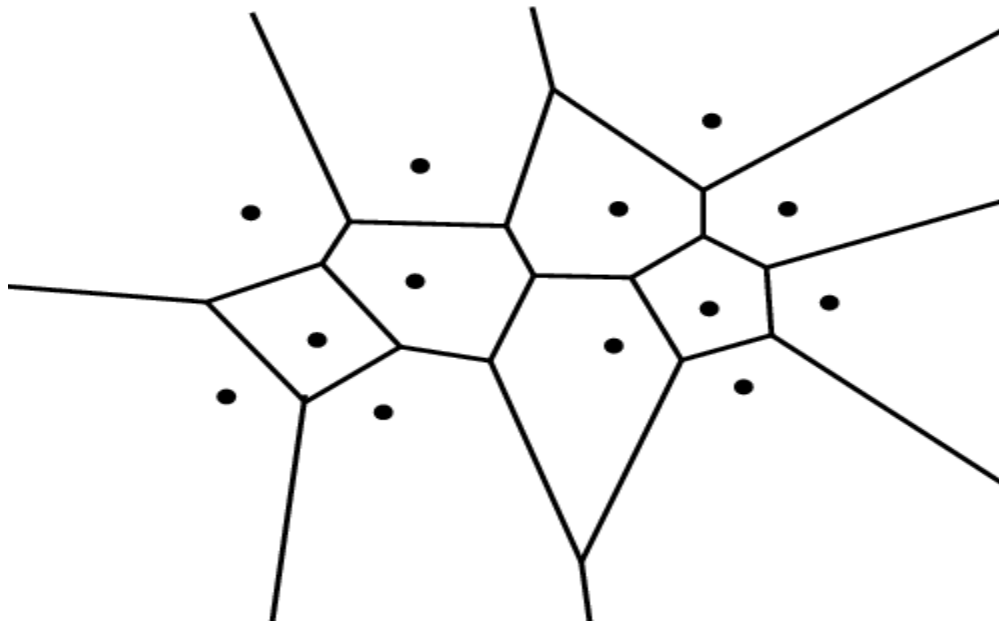
$$T(1) = O(1)$$

$$O(n \log n + k) \quad k = \# \text{ intersections}$$

# Computation of a Voronoi diagram

**Input:** Set of sites

**Output:** Partition of the plane into regions, each consisting of the points closer to one particular site than to any other site.



# Definition of Voronoi diagrams

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$P$ : Set of sites

$$H(p \mid p') = \{x \mid x \text{ is closer to } p \text{ than to } p'\}$$

Voronoi region of  $p$ :

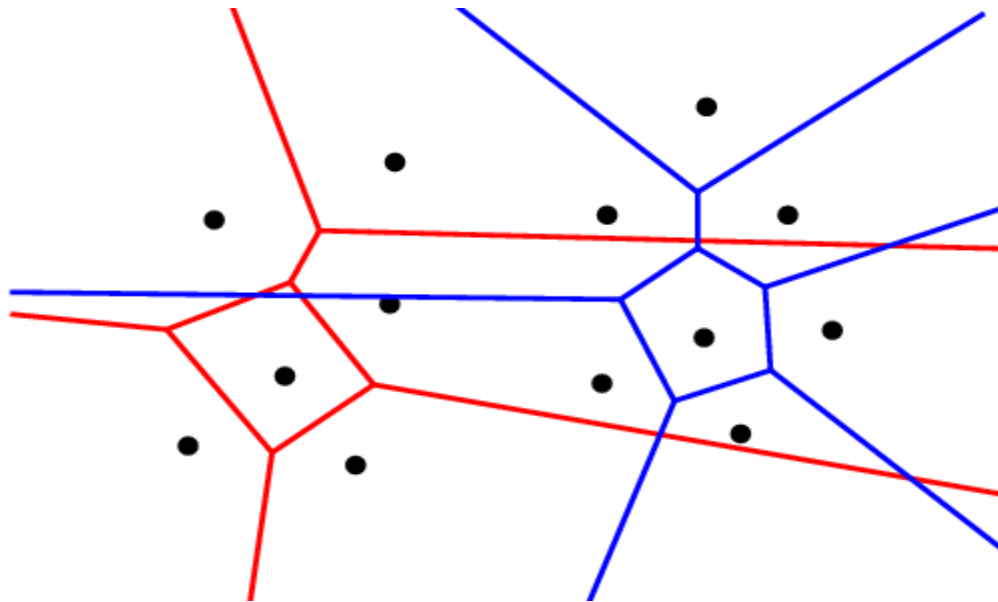
$$VR(p) = \bigcap_{p' \in P \setminus \{p\}} H(p \mid p')$$

# Computation of a Voronoi Diagram

**Divide:** Partition the set of sites into two equal sized sets.

**Conquer:** Recursive computation of the two smaller Voronoi diagrams.

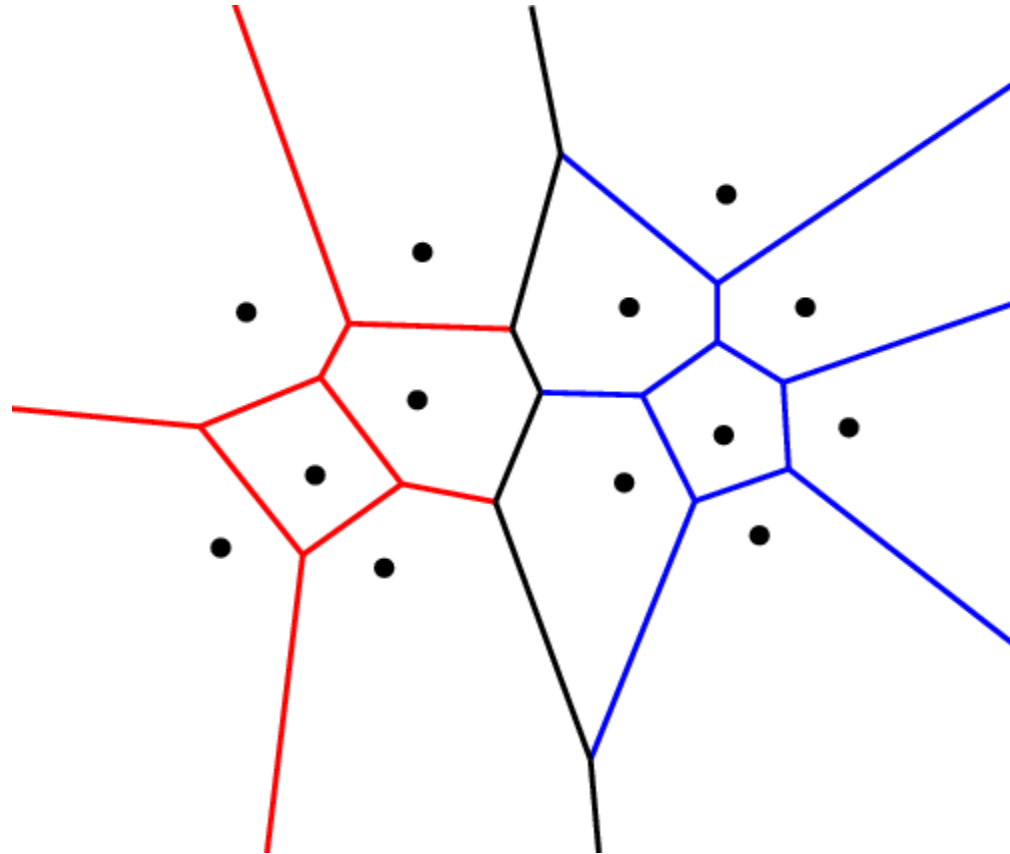
**Stopping condition:** The Voronoi diagram of a single site is the whole plane.



**Merge:** Connect the diagrams by adding new edges.

# Computation of a Voronoi diagram

**Output:** The complete Voronoi diagram.



**Running time:**  $O(n \log n)$ , where  $n$  is the number of sites.