09 – Fibonacci Heaps

Priority queues: operations

Priority queue *Q*

Data structure for maintaining a set of elements, each having a priority from a totally ordered universe $(U,\leq)$. The following operations are supported.

Operations:

Q.initialize(): initializes an empty queue *Q*

Q.isEmpty(): returns true iff *Q* is empty

Q.insert(e): inserts element *e* into *Q* and returns a pointer to the node containing *e*

Q.deletemin(): returns the element of *Q* with minimum key and deletes it

Q.min(): returns the element of Q with minimum key

Q.decreasekey(v,k): decreases the value of *v*‘s key to the new value *k*

Priority queues: operations

Additional operations:

Q.delete(v): deletes node *v* and its element from *Q*
(without searching for *v*)

Q.meld(Q´): unites *Q* and *Q´* (concatenable queue)

Q.search(k): searches for the element with key *k* in *Q*
(searchable queue)

And many more, e.g. *predecessor, successor, max, deletemax*

Priority queues: implementations

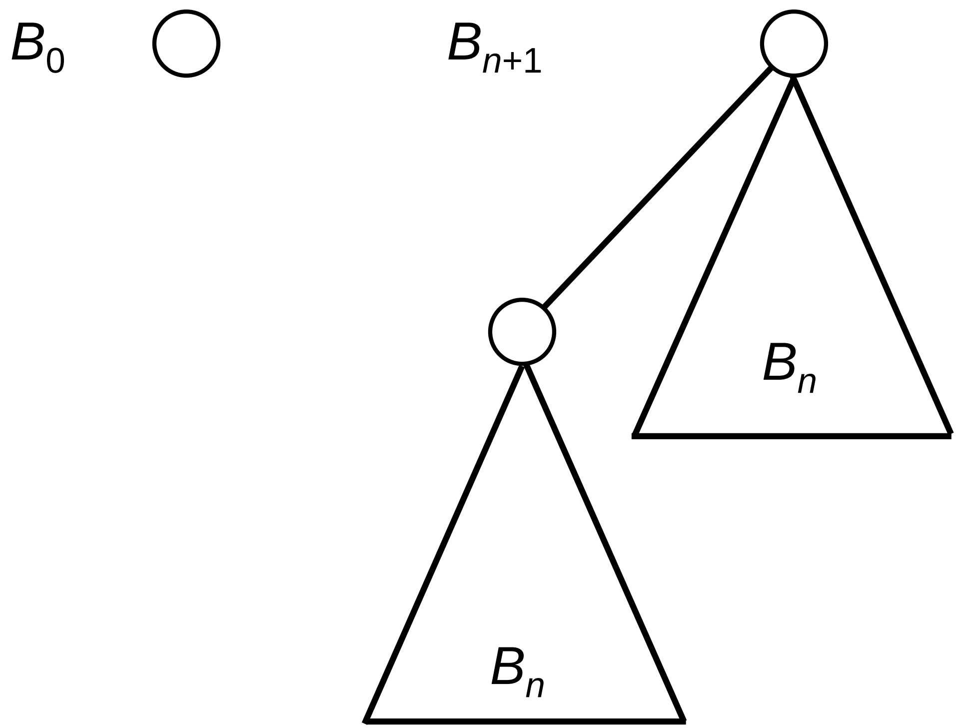
	List	Heap	Bin. – Q.	Fib.-Hp.
insert	O(1)	O(log *n*)	O(log *n*)	O(1)
min	O(*n*)	O(1)	O(log *n*)	O(1)
delete-min	O(*n*)	O(log *n*)	O(log *n*)	O(log *n*)*
meld (m≤n)	O(1)	O(*n*) or O(*m* log *n*)	O(log *n*)	O(1)
decr.-key	O(1)	O(log *n*)	O(log *n*)	O(1)*

*= amortized cost

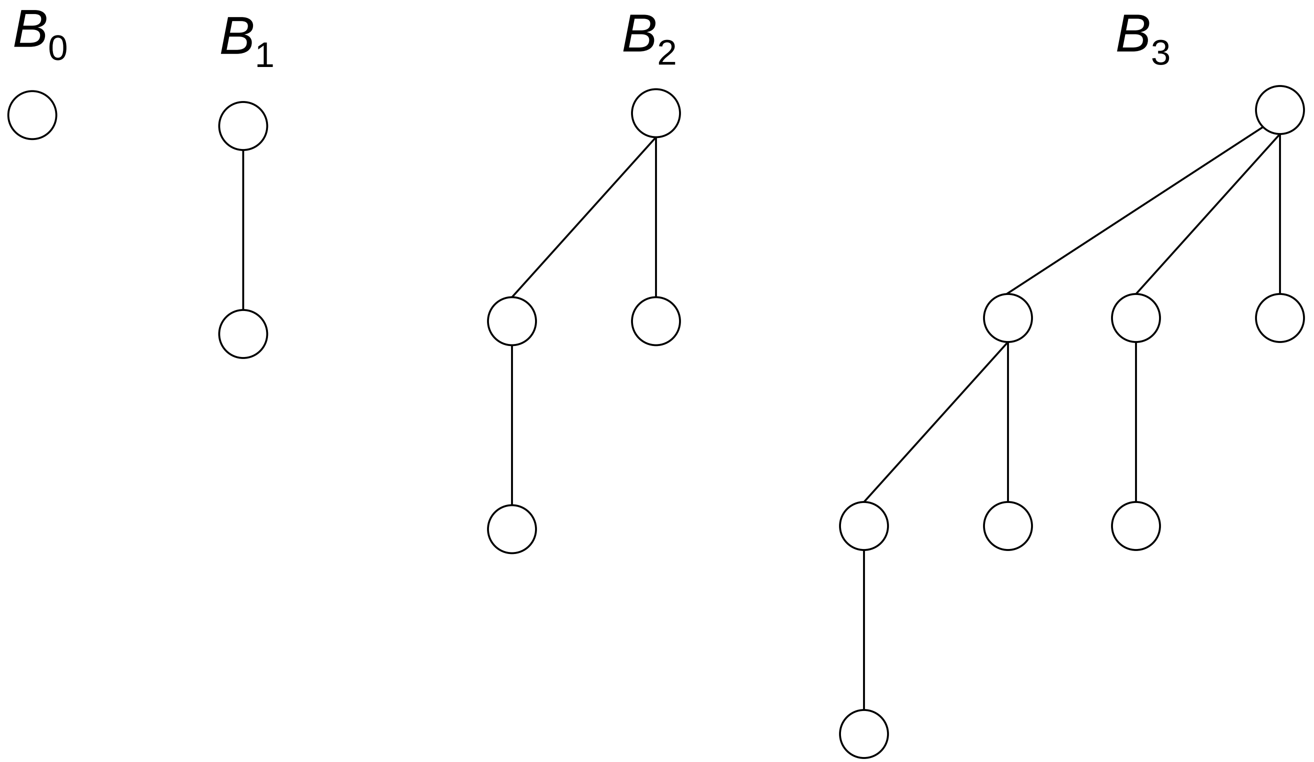
Q.delete(e) = Q.decreasekey(e, -∞) + Q.deletemin( )

Reminder: Binomial queues

Binomial tree B_n of order n $(n \geq 0)$



Binomial trees



Binomial queue *Q*:

Set of heap ordered binomial trees of different order to store keys.

Fibonacci heaps

„Lazy-meld“ version of binomial queues:

The melding of trees having the same order is delayed until the next deletemin operation.

Definition

A Fibonacci heap *Q* is a collection heap-ordered trees.

Variables

Q.min: root of the tree containing the minimum key

Q.rootlist: circular, doubly linked, unordered list containing the roots of all trees

Q.size: number of nodes/elements currently in *Q*

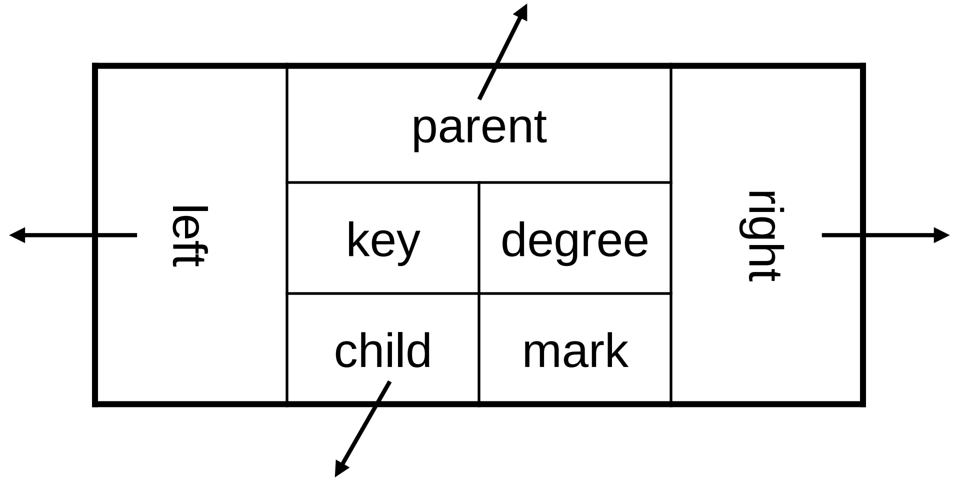
Trees in Fibonacci heaps

Let *B* be a heap-ordered tree in *Q.rootlist*:

B.childlist: circular, doubly linked and unordered list of the children of *B*

Every node in a Fibonacci heap has a pointer to one child, if it exists.
Children are stored in circular, doubly linked, unordered list

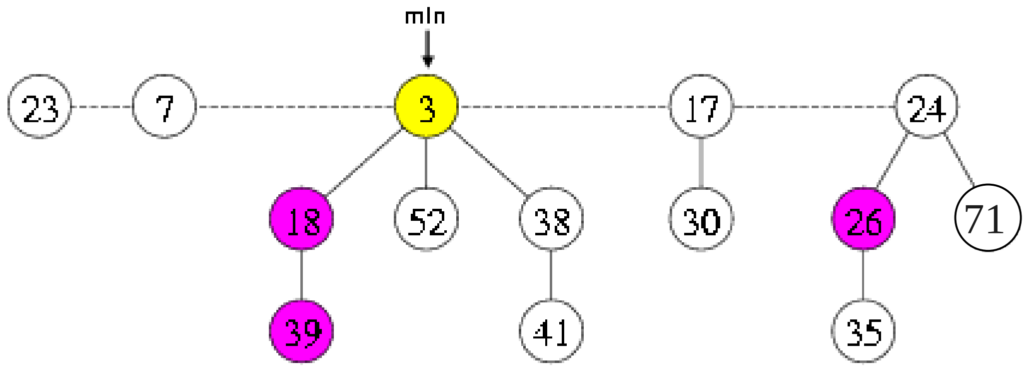
Structure of a node

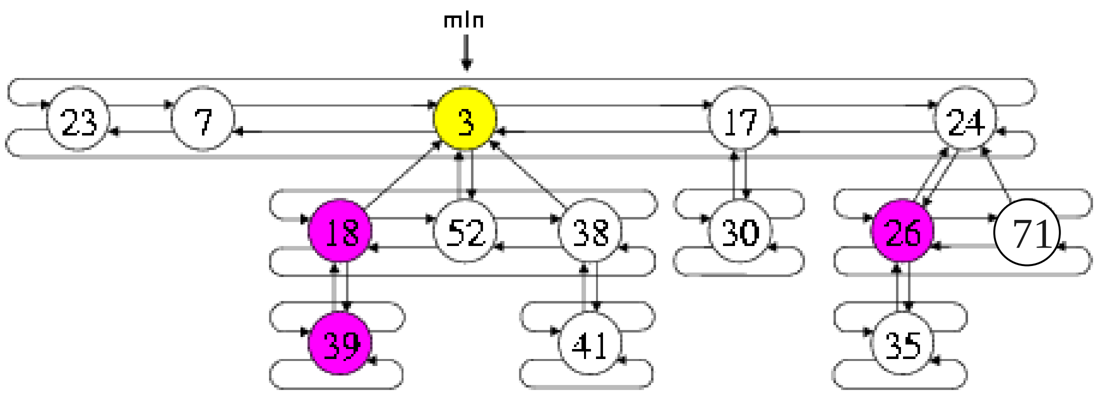


Advantages of circular, doubly linked lists:

1. Deleting an element takes constant time.
2. Concatenating two lists takes constant time.

Implementation: Example





Operations on Fibonacci heaps

Q.initialize()

Q.rootlist := null; *Q.min* := null; *Q.size* := 0;

Q.min()

return *Q.min.key*;

Q.insert(e)

Generate a new node with element *e*;

Insert the node into the rootlist of *Q and* update *Q.min*;

Q.meld(Q´)

Concatenate *Q.rootlist* and *Q´.rootlist*;

Update *Q.min*;

Operation ‘deletemin’

Q.deletemin()

/*Delete the node with minimum key from *Q* and return its element.*/

1. *m* := *Q.min()*;
2. if *Q.size()* > 0 then
3.   Remove *Q.min()* from *Q.rootlist*;
4.   Add *Q.min.childlist* to *Q.rootlist*;
5.   *Q.consolidate()*;

       /*Repeatedly meld nodes in the root list having the same degree. Then determine the element with minimum key. */
6. return *m*;

Maximum degree of a node

$rank(v)$ = degree/number of children of node v in Q

$rank(Q)$ = maximum degree of any node in Q

Assumption:

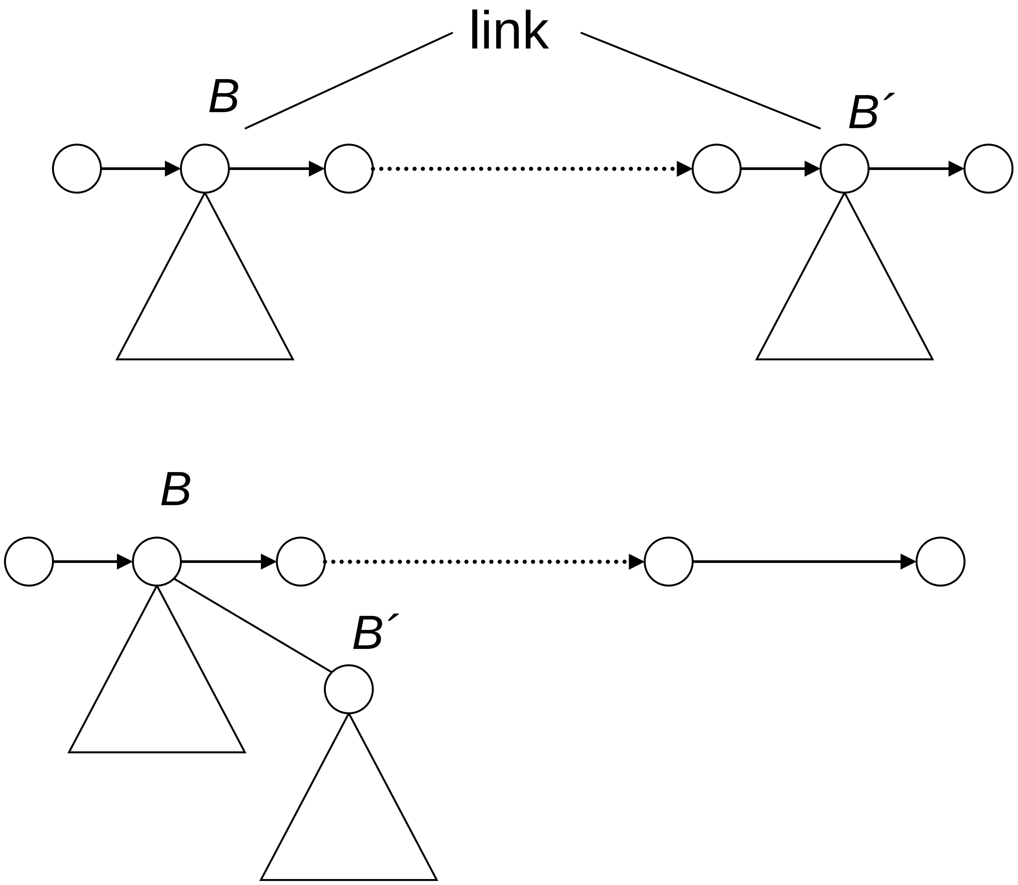
$$rank(Q) \leq 2 \log n$$

if $Q.size = n$.

Operation 'link'

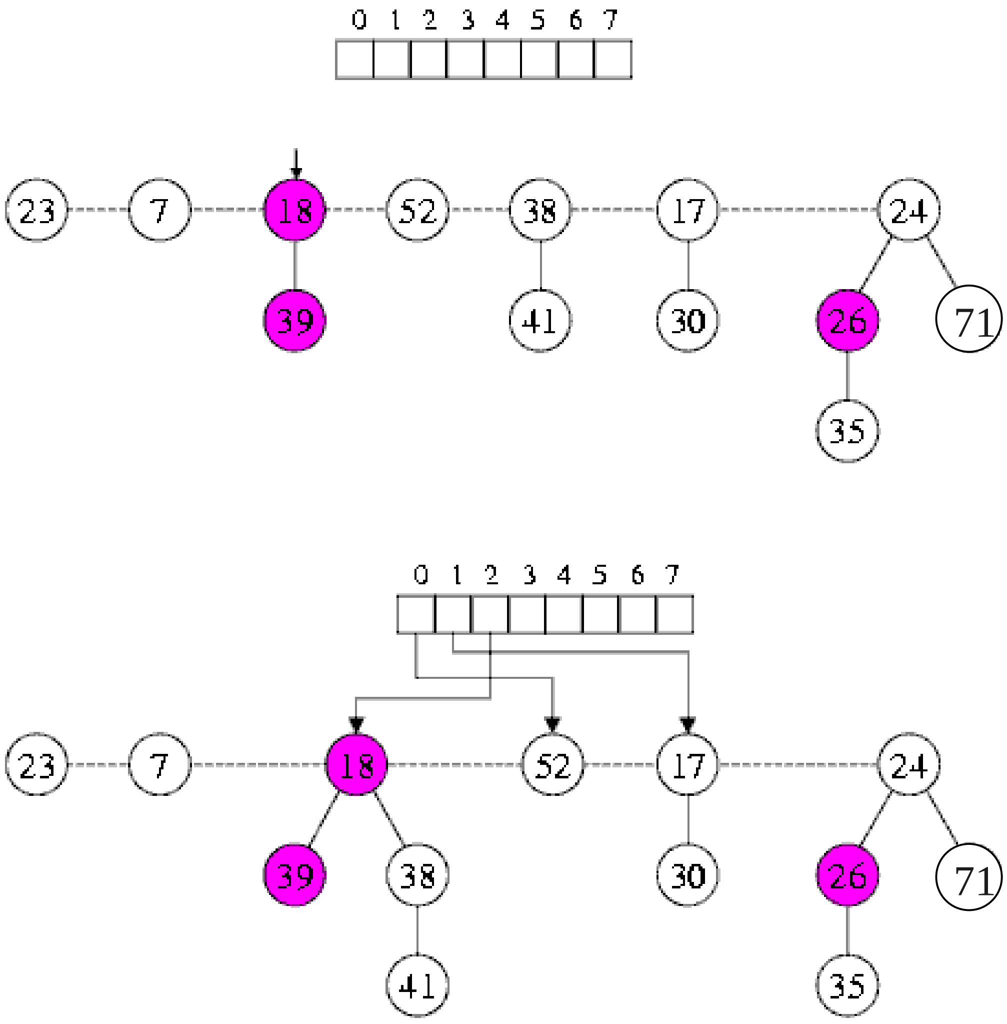
rank(B) = degree of the root of *B*

Heap-ordered trees *B,B´* with *rank(B) = rank(B´)*



1. *rank(B)* := *rank(B)* + 1
2. *B´.mark* := *false*

Consolidation of the root list



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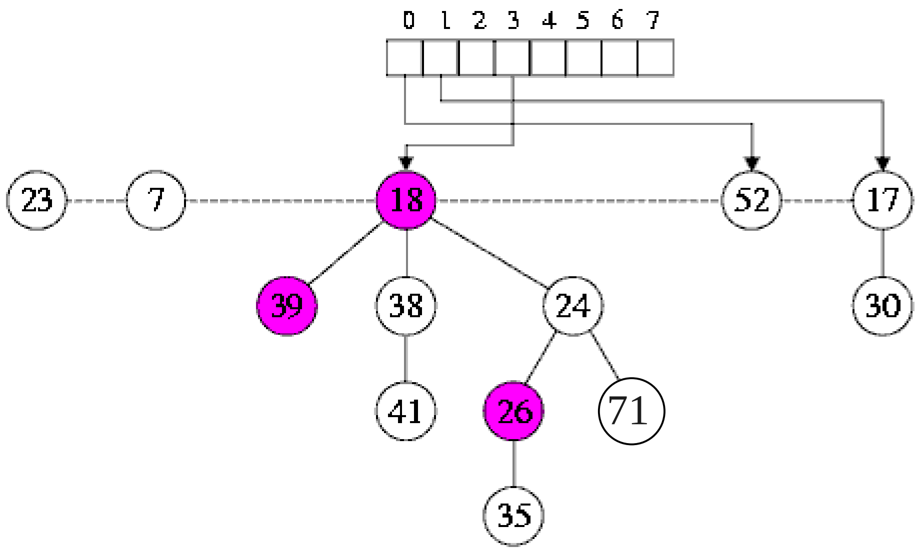
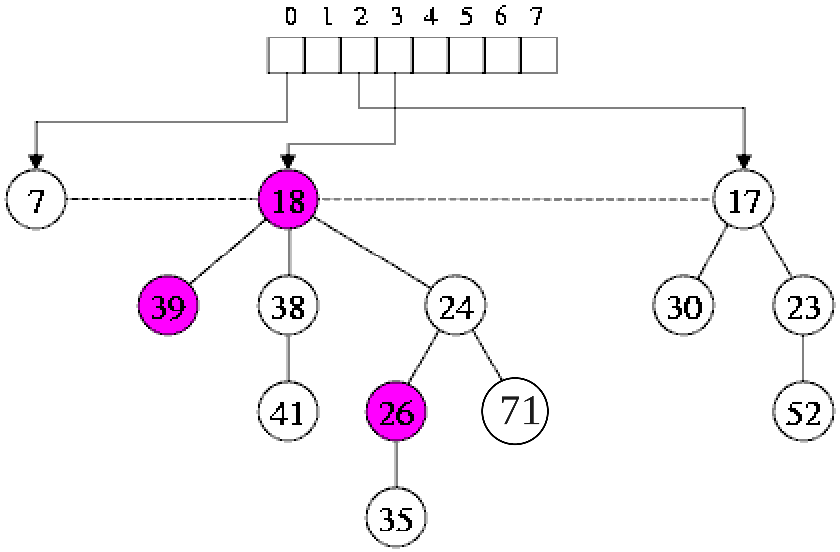
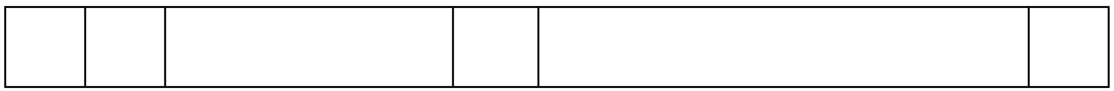




Operation ‘deletemin’

Find roots having the same rank:

Array *A*:

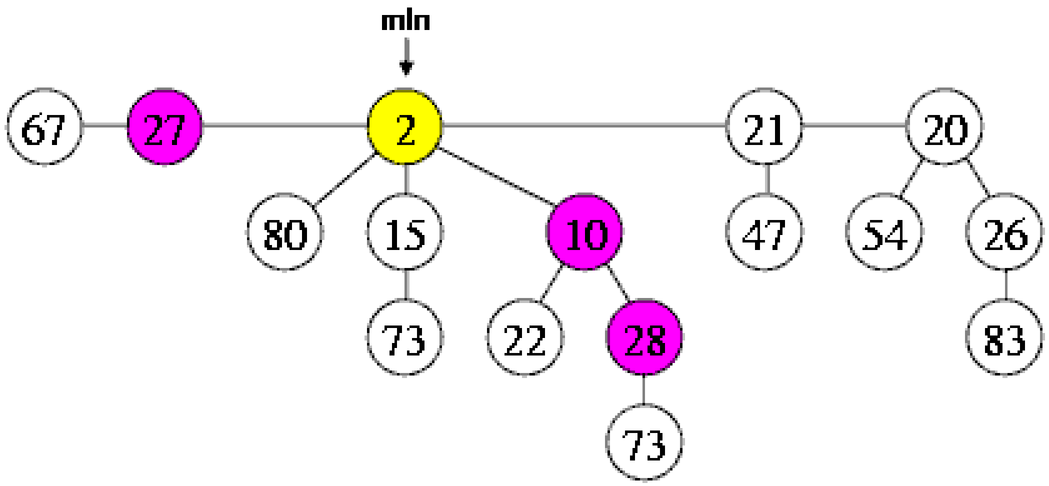


0 1 2 log *n*

Q.consolidate()

```
A = array of length 2 log n pointing to roots of trees in the
        Fibonacci heap;
for i = 0 to 2 log n do A[i] = null;
while Q.rootlist ≠ ∅ do
        B := Q.delete-first();
        while A[rank(B)] ≠ null do
                B´ := A[rank(B)]; A[rank(B)] := null; B := link(B,B´);
        end while;
        A[rank(B)] = B;
end while;
determine Q.min;
```

Operation ‘decreasekey’: example



Operation ‘decreasekey’

Q.decreasekey(v,k)

```
if k ≥ v.key then return;
v.key := k;
update Q.min;
if v ∈ Q.rootlist or k ≥ v.parent.key then return;
repeat /* cascading cuts */
    parent := v.parent;
    Q.cut(v);
    v := parent;
until v.mark = false or v ∈ Q.rootlist;
if v ∉ Q.rootlist then v.mark = true;
```

Operation 'cut'

Q.cut(v)

1. **if** $v \notin Q.rootlist$
2. **then** /* cut the link between *v* and its parent */
3. *rank* (*v.parent*) := *rank* (*v.parent*) – *1*;
4. Remove *v* from *v.parent.childlist*;
5. *v.parent := null*;
6. Add *v* to *Q.rootlist*;

Marks

History of a node:

v is being linked to a node $\longrightarrow$ *v.mark := false*

$\downarrow$

a child of *v* is cut $\longrightarrow$ *v.mark := true*

$\downarrow$

a second child of *v* is cut $\longrightarrow$ cut *v*

The boolean value *mark* indicates whether node *v* has lost a child since the last time *v* was made the child of another node.

Rank of the children of a node

Lemma

Let v be a node in a Fibonacci-Heap Q. Let $u_1,\ldots,u_k$ denote the children of v in the order in which they were linked to v. Then

$$rank(u_i) \geq i - 2.$$

Proof:

At the time when u_i was linked to v:

children of v $(rank(v))$: $\geq i - 1$

children of u_i $(rank(u_i))$: $\geq i - 1$

children u_i may have lost: 1

Maximum rank of a node

Theorem

Let v be a node in a Fibonacci heap Q, and let $rank(v) = k$. Then v is the root of a subtree that has at least F_{k+2} nodes.

$F_0 = 0 \quad F_1 = 1 \quad F_{k+1} = F_{k-1} + F_k \qquad F_{k+2} \geq \Phi^k \quad \Phi = (1+\sqrt{5})/2 \approx 1.618$

Golden Ratio

The number of descendants of a node grows exponentially in the number of children.

Implication: The maximum rank k of any node v in a Fibonacci heap Q with n nodes is upper bounded by 2 $\log_2 n$.

$\Phi^k \leq n \;\Rightarrow\; k \leq \log_2 n / \log_2 \Phi < 1.45 \log_2 n$

Maximum rank of a node

Proof of the Theorem:

S_k = minimum possible size of a subtree whose root has rank k

$S_0 = 1 = F_2$

$S_1 = 2 = F_3$

It holds that:

$$S_k \geq 2 + \sum_{i=0}^{k-2} S_i \quad \text{for } k \geq 2 \qquad (1)$$

Fibonacci numbers:

$$F_{k+2} = 1 + \sum_{i=0}^{k} F_i \qquad (2)$$

$$= 1 + F_0 + F_1 + \ldots + F_k$$

(1) + (2) + induction $\Rightarrow S_k \geq F_{k+2}$

Analysis of Fibonacci heaps

Potential method to analyze Fibonacci heap operations.

Potential Φ_Q of Fibonacci heap Q:

$$\Phi_Q = r_Q + 2\, m_Q$$

where

r_Q = number of nodes in *Q.rootlist*

m_Q = number of all marked nodes in Q that are not in the root list.

Amortized analysis

a_i : amortized cost of the i-th operation

t_i : actual cost of the i-th operation

$$a_i = t_i + \Phi_i - \Phi_{i-1}$$
$$= t_i + (r_i - r_{i-1}) + 2(m_i - m_{i-1})$$

In the following we assume that a constant number of constant-time instructions (such as a key comparison, a pointer update, the cut of a link or the marking of a node) incurs an actual cost of 1. Otherwise we can simply scale up the potential function.

Analysis of ‘insert’

insert

$t_i = 1$

$r_i - r_{i-1} = 1$

$m_i - m_{i-1} = 0$

$a_i = 1 + 1 + 0 = O(1)$

Analysis of ‘deletemin’

deletemin:

$t_i \leq r_{i-1} + 2 \log n + 2 \log n$

By deleting the element with minimum key, at most 2 log n children join the root list. Hence at most $r_{i-1} + 2 \log n$ link operations can be performed. After consolidation at most 2 log n roots have to be inspected to determine the new minimum. Thus the actual cost, up to a constant factor, is upper bounded by the above right-hand side expression.

$r_i - r_{i-1} \leq 2 \log n - r_{i-1}$

$m_i - m_{i-1} \leq 0$

$a_i \leq r_{i-1} + 4 \log n + 2 \log n - r_{i-1} + 0$

$= O(\log n)$

Analysis of ‘decreasekey’

decreasekey:

Let c denote the number of cut operations.

$t_i = c + 1$ In addition to the cut operations, there is constant cost for possibly marking a new node and updating the min-pointer.

$r_i – r_{i-1} = c$

$m_i – m_{i-1} \leq - (c - 1) +1$

$a_i \leq c + 1 + c + 2\ (-c + 2)$

$= O(1)$

Priority queues: comparison

	List	Heap	Bin. – Q.	Fib.-Hp.
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delete-min	O(n)	O(log n)	O(log n)	O(log n)*
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