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Hashing tries to directly compute the memory location from the given key. The goal is to have constant search time.



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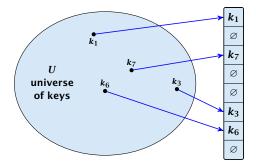
The hash-function *h* should fulfill:

- Fast to evaluate.
- Small storage requirement.
- Good distribution of elements over the whole table.



Direct Addressing

Ideally the hash function maps all keys to different memory locations.

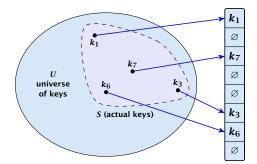


This special case is known as Direct Addressing. It is usually very unrealistic as the universe of keys typically is quite large, and in particular larger than the available memory.



Perfect Hashing

Suppose that we know the set S of actual keys (no insert/no delete). Then we may want to design a simple hash-function that maps all these keys to different memory locations.



Such a hash function *h* is called a perfect hash function for set *S*.



5.9 Hashing

19. Dec. 2022 200/279

If we do not know the keys in advance, the best we can hope for is that the hash function distributes keys evenly across the table.



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Hence, there may be two elements k_1, k_2 from the set *S* that map to the same memory location (i.e., $h(k_1) = h(k_2)$). This is called a collision.



Typically, collisions do not appear once the size of the set *S* of actual keys gets close to *n*, but already when $|S| \ge \omega(\sqrt{n})$.



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Lemma 16

The probability of having a collision when hashing m elements into a table of size n under uniform hashing is at least

$$1 - e^{-\frac{m(m-1)}{2n}} \approx 1 - e^{-\frac{m^2}{2n}}$$
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Uniform hashing:

Choose a hash function uniformly at random from all functions $f: U \rightarrow [0, ..., n-1]$.



Proof.

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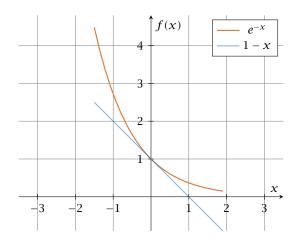
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Here the first equality follows since the ℓ -th element that is hashed has a probability of $\frac{n-\ell+1}{n}$ to not generate a collision under the condition that the previous elements did not induce collisions.





The inequality $1 - x \le e^{-x}$ is derived by stopping the Taylor-expansion of e^{-x} after the second term.



5.9 Hashing

19. Dec. 2022 204/279

Resolving Collisions

The methods for dealing with collisions can be classified into the two main types

- open addressing, aka. closed hashing
- hashing with chaining, aka. closed addressing, open hashing.



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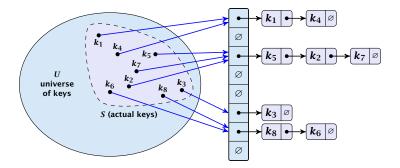
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There are applications e.g. computer chess where you do not resolve collisions at all.



Arrange elements that map to the same position in a linear list.

- Access: compute h(x) and search list for key[x].
- Insert: insert at the front of the list.





5.9 Hashing

19. Dec. 2022 206/279

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- We parameterize the complexity results in terms of $\alpha := \frac{m}{n}$, the so-called fill factor of the hash-table.

We assume uniform hashing for the following analysis.



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The time required for an unsuccessful search is 1 plus the length of the list that is examined. The average length of a list is $\alpha = \frac{m}{n}$. Hence, if A is the collision resolving strategy "Hashing with Chaining" we have

 $A^- = 1 + \alpha \ .$



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5.9 Hashing

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$$= 1+\frac{m-1}{2n} = 1+\frac{\alpha}{2}-\frac{\alpha}{2m} .$$

Hence, the expected cost for a successful search is $A^+ \leq 1 + \frac{\alpha}{2}$.



Disadvantages:

- pointers increase memory requirements
- pointers may lead to bad cache efficiency

Advantages:

- no à priori limit on the number of elements
- deletion can be implemented efficiently
- by using balanced trees instead of linked list one can also obtain worst-case guarantees.





5.9 Hashing

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Define a function h(k, j) that determines the table-position to be examined in the *j*-th step. The values $h(k, 0), \ldots, h(k, n-1)$ must form a permutation of $0, \ldots, n-1$.



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Search(*k*): Try position h(k, 0); if it is empty your search fails; otw. continue with h(k, 1), h(k, 2),

Insert(x): Search until you find an empty slot; insert your element there. If your search reaches h(k, n - 1), and this slot is non-empty then your table is full.



Choices for h(k, j):

Linear probing:
 h(k, i) = h(k) + i mod n
 (sometimes: h(k, i) = h(k) + ci mod n).



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For quadratic probing and double hashing one has to ensure that the search covers all positions in the table (i.e., for double hashing $h_2(k)$ must be relatively prime to n (teilerfremd); for quadratic probing c_1 and c_2 have to be chosen carefully).



Linear Probing

Advantage: Cache-efficiency. The new probe position is very likely to be in the cache.



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Lemma 17

Let *L* be the method of linear probing for resolving collisions:

$$L^{+} \approx \frac{1}{2} \left(1 + \frac{1}{1 - \alpha} \right)$$
$$L^{-} \approx \frac{1}{2} \left(1 + \frac{1}{(1 - \alpha)^{2}} \right)$$



5.9 Hashing

Quadratic Probing

- Not as cache-efficient as Linear Probing.
- Secondary clustering: caused by the fact that all keys mapped to the same position have the same probe sequence.



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Lemma 18

Let Q be the method of quadratic probing for resolving collisions:

$$Q^+ \approx 1 + \ln\left(\frac{1}{1-\alpha}\right) - \frac{\alpha}{2}$$

 $Q^- \approx \frac{1}{1-\alpha} + \ln\left(\frac{1}{1-\alpha}\right) - \alpha$



5.9 Hashing

Double Hashing

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Lemma 19

Let D be the method of double hashing for resolving collisions:

$$D^+ \approx \frac{1}{\alpha} \ln\left(\frac{1}{1-\alpha}\right)$$

 $D^- \approx \frac{1}{1-\alpha}$



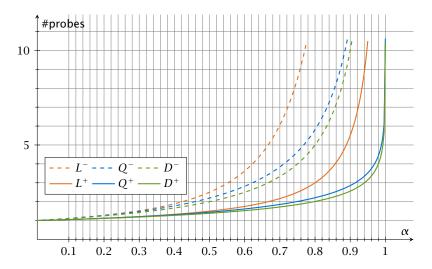
Open Addressing

Some values:

α	Linear Probing		Quadratic Probing		Double Hashing	
	L^+	L^{-}	Q^+	Q^-	D^+	D^-
0.5	1.5	2.5	1.44	2.19	1.39	2
0.9	5.5	50.5	2.85	11.40	2.55	10
0.95	10.5	200.5	3.52	22.05	3.15	20



Open Addressing





5.9 Hashing

We analyze the time for a search in a very idealized Open Addressing scheme.

► The probe sequence h(k, 0), h(k, 1), h(k, 2),... is equally likely to be any permutation of (0, 1,..., n − 1).





5.9 Hashing

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$$\Pr[X \ge i] = \frac{m}{n} \cdot \frac{m-1}{n-1} \cdot \frac{m-2}{n-2} \cdot \ldots \cdot \frac{m-i+2}{n-i+2}$$



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Let X denote a random variable describing the number of probes in an unsuccessful search.

Let A_i denote the event that the *i*-th probe occurs and is to a non-empty slot.

 $\Pr[A_1 \cap A_2 \cap \dots \cap A_{i-1}]$ = $\Pr[A_1] \cdot \Pr[A_2 \mid A_1] \cdot \Pr[A_3 \mid A_1 \cap A_2] \cdot \dots \cdot \Pr[A_{i-1} \mid A_1 \cap \dots \cap A_{i-2}]$

$$\Pr[X \ge i] = \frac{m}{n} \cdot \frac{m-1}{n-1} \cdot \frac{m-2}{n-2} \cdot \dots \cdot \frac{m-i+2}{n-i+2}$$
$$\le \left(\frac{m}{n}\right)^{i-1}$$



5.9 Hashing

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$$\leq \left(\frac{m}{n}\right)^{i-1} = \alpha^{i-1} \ .$$



5.9 Hashing

 $\mathbb{E}[X]$



5.9 Hashing

$$\mathsf{E}[X] = \sum_{i=1}^{\infty} \Pr[X \ge i]$$



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5.9 Hashing

$$E[X] = \sum_{i=1}^{\infty} \Pr[X \ge i] \le \sum_{i=1}^{\infty} \alpha^{i-1} = \sum_{i=0}^{\infty} \alpha^{i} = \frac{1}{1 - \alpha} .$$



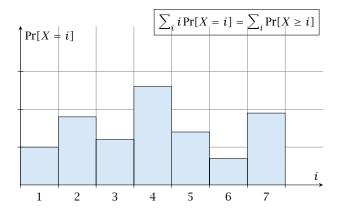
5.9 Hashing

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$$\frac{1}{1-\alpha} = 1 + \alpha + \alpha^2 + \alpha^3 + \dots$$

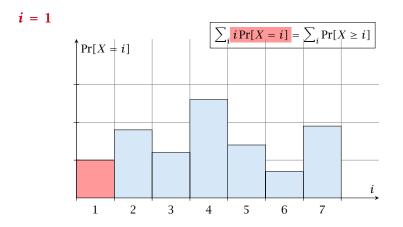


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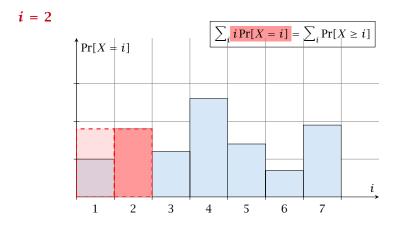


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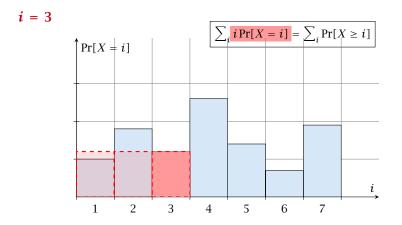


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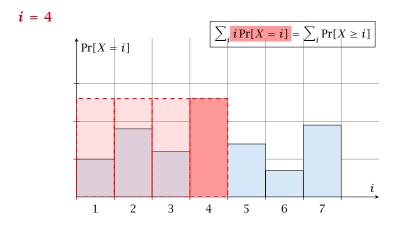


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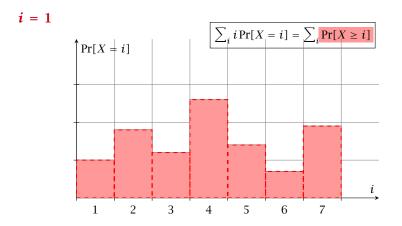


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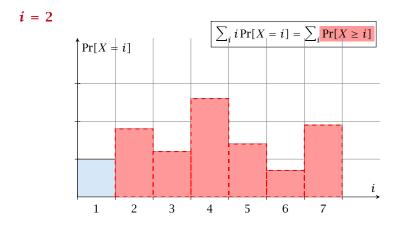


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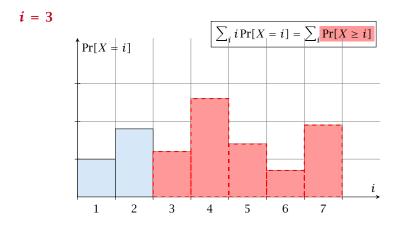


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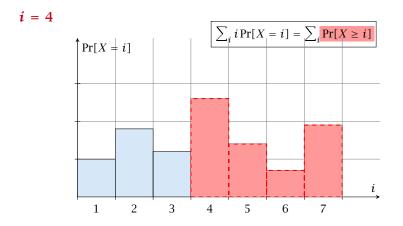


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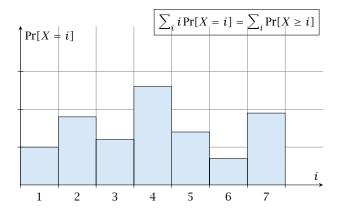


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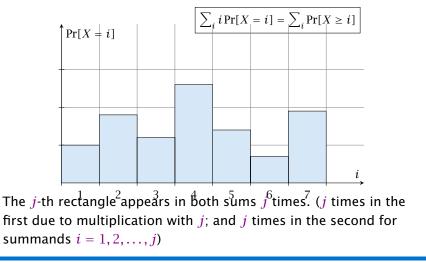


5.9 Hashing





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$$\leq \frac{1}{\alpha} \int_{n-m}^{n} \frac{1}{x} dx = \frac{1}{\alpha} \ln \frac{n}{n-m}$$



5.9 Hashing

Analysis of Idealized Open Address Hashing

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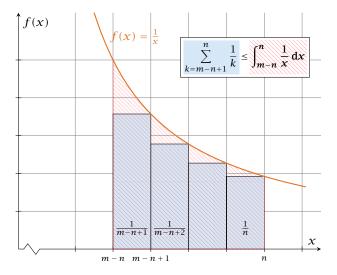
$$\frac{1}{m} \sum_{i=0}^{m-1} \frac{n}{n-i} = \frac{n}{m} \sum_{i=0}^{m-1} \frac{1}{n-i} = \frac{1}{\alpha} \sum_{k=n-m+1}^{n} \frac{1}{k}$$
$$\leq \frac{1}{\alpha} \int_{n-m}^{n} \frac{1}{x} dx = \frac{1}{\alpha} \ln \frac{n}{n-m} = \frac{1}{\alpha} \ln \frac{1}{1-\alpha} .$$



5.9 Hashing

19. Dec. 2022 223/279

Analysis of Idealized Open Address Hashing





How do we delete in a hash-table?

For hashing with chaining this is not a problem. Simply search for the key, and delete the item in the corresponding list.



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- For hashing with chaining this is not a problem. Simply search for the key, and delete the item in the corresponding list.
- For open addressing this is difficult.



Simply removing a key might interrupt the probe sequence of other keys which then cannot be found anymore.



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 - During a search a deleted-marker must not be used to terminate the probe sequence.
- The table could fill up with deleted-markers leading to bad performance.
- If a table contains many deleted-markers (linear fraction of the keys) one can rehash the whole table and amortize the cost for this rehash against the cost for the deletions.

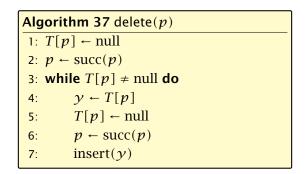


 For Linear Probing one can delete elements without using deletion-markers.



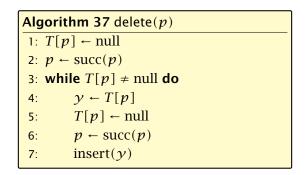
- For Linear Probing one can delete elements without using deletion-markers.
- Upon a deletion elements that are further down in the probe-sequence may be moved to guarantee that they are still found during a search.





 \ensuremath{p} is the index into the table-cell that contains the object to be deleted.





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Pointers into the hash-table become invalid.





5.9 Hashing

19. Dec. 2022 229/279

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Universal hashing tries to define a set \mathcal{H} of functions that is much smaller but still leads to good average case behaviour when selecting a hash-function uniformly at random from \mathcal{H} .



Definition 20

A class \mathcal{H} of hash-functions from the universe U into the set $\{0, \ldots, n-1\}$ is called universal if for all $u_1, u_2 \in U$ with $u_1 \neq u_2$

$$\Pr[h(u_1) = h(u_2)] \le \frac{1}{n}$$
,

where the probability is w.r.t. the choice of a random hash-function from set $\mathcal{H}.$



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where the probability is w.r.t. the choice of a random hash-function from set $\mathcal{H}.$

Note that this means that the probability of a collision between two arbitrary elements is at most $\frac{1}{n}$.



Definition 21

A class \mathcal{H} of hash-functions from the universe U into the set $\{0, \ldots, n-1\}$ is called 2-independent (pairwise independent) if the following two conditions hold

- For any key $u \in U$, and $t \in \{0, ..., n-1\} \Pr[h(u) = t] = \frac{1}{n}$, i.e., a key is distributed uniformly within the hash-table.
- For all u₁, u₂ ∈ U with u₁ ≠ u₂, and for any two hash-positions t₁, t₂:

$$\Pr[h(u_1) = t_1 \wedge h(u_2) = t_2] \le \frac{1}{n^2} .$$



5.9 Hashing

19. Dec. 2022 231/279

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This requirement clearly implies a universal hash-function.



Definition 22

A class \mathcal{H} of hash-functions from the universe U into the set $\{0, \ldots, n-1\}$ is called *k*-independent if for any choice of $\ell \leq k$ distinct keys $u_1, \ldots, u_\ell \in U$, and for any set of ℓ not necessarily distinct hash-positions t_1, \ldots, t_ℓ :

$$\Pr[h(u_1) = t_1 \wedge \cdots \wedge h(u_\ell) = t_\ell] \leq \frac{1}{n^\ell} ,$$

where the probability is w.r.t. the choice of a random hash-function from set \mathcal{H} .



Definition 23

A class \mathcal{H} of hash-functions from the universe U into the set $\{0, \ldots, n-1\}$ is called (μ, k) -independent if for any choice of $\ell \leq k$ distinct keys $u_1, \ldots, u_\ell \in U$, and for any set of ℓ not necessarily distinct hash-positions t_1, \ldots, t_ℓ :

$$\Pr[h(u_1) = t_1 \wedge \cdots \wedge h(u_\ell) = t_\ell] \leq \frac{\mu}{n^\ell} ,$$

where the probability is w.r.t. the choice of a random hash-function from set \mathcal{H} .





5.9 Hashing

19. Dec. 2022 234/279

Let $U := \{0, ..., p-1\}$ for a prime p. Let $\mathbb{Z}_p := \{0, ..., p-1\}$, and let $\mathbb{Z}_p^* := \{1, ..., p-1\}$ denote the set of invertible elements in \mathbb{Z}_p .



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Define

 $h_{a,b}(x) := (ax + b \mod p) \mod n$



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Lemma 24

The class

$$\mathcal{H} = \{h_{a,b} \mid a \in \mathbb{Z}_p^*, b \in \mathbb{Z}_p\}$$

is a universal class of hash-functions from U to $\{0, ..., n-1\}$.





5.9 Hashing

19. Dec. 2022 235/279

Proof.

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Multiplying with $a \not\equiv 0 \pmod{p}$ gives

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where we use that \mathbb{Z}_p is a field (Körper) and, hence, has no zero divisors (nullteilerfrei).



The hash-function does not generate collisions before the (mod *n*)-operation. Furthermore, every choice (*a*, *b*) is mapped to a different pair (*t_x*, *t_y*) with *t_x* := *ax* + *b* and *t_y* := *ay* + *b*.

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 - $a \equiv (t_x t_y)(x y)^{-1} \pmod{p}$ $b \equiv t_y - ay \pmod{p}$



5.9 Hashing

19. Dec. 2022 237/279

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Fix a value t_{χ} . There are p - 1 possible values for choosing t_{χ} .



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What happens when we do the mod n operation?

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From the range 0, ..., p - 1 the values $t_x, t_x + n, t_x + 2n, ...$ map to t_x after the modulo-operation. These are at most $\lceil p/n \rceil$ values.





5.9 Hashing

19. Dec. 2022 238/279

As $t_{\mathcal{Y}} \neq t_{\mathcal{X}}$ there are

 $\left\lceil \frac{p}{n} \right\rceil - 1$



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possibilities for choosing $t_{\mathcal{Y}}$ such that the final hash-value creates a collision.



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possibilities for choosing $t_{\mathcal{Y}}$ such that the final hash-value creates a collision.

This happens with probability at most $\frac{1}{n}$.





5.9 Hashing

19. Dec. 2022 239/279

It is also possible to show that $\mathcal H$ is an (almost) pairwise independent class of hash-functions.

$$\Pr_{t_{x} \neq t_{y} \in \mathbb{Z}_{p}^{2}} \begin{bmatrix} t_{x} \mod n = h_{1} \\ \uparrow \\ t_{y} \mod n = h_{2} \end{bmatrix}$$



It is also possible to show that $\mathcal H$ is an (almost) pairwise independent class of hash-functions.

$$\frac{\left\lfloor \frac{p}{n} \right\rfloor^2}{p(p-1)} \le \Pr_{t_x \neq t_y \in \mathbb{Z}_p^2} \left[\begin{array}{c} t_x \mod n = h_1 \\ t_y \mod n = h_2 \end{array} \right] \le \frac{\left\lceil \frac{p}{n} \right\rceil^2}{p(p-1)}$$



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$$\frac{\left\lfloor \frac{p}{n} \right\rfloor^2}{p(p-1)} \le \Pr_{t_x \neq t_y \in \mathbb{Z}_p^2} \left[\begin{array}{c} t_x \mod n = h_1 \\ t_y \mod n = h_2 \end{array} \right] \le \frac{\left\lfloor \frac{p}{n} \right\rfloor^2}{p(p-1)}$$

Note that the middle is the probability that $h(x) = h_1$ and $h(y) = h_2$. The total number of choices for (t_x, t_y) is p(p-1). The number of choices for t_x (t_y) such that $t_x \mod n = h_1$ $(t_y \mod n = h_2)$ lies between $\lfloor \frac{p}{n} \rfloor$ and $\lceil \frac{p}{n} \rceil$.



Definition 25

Let $d \in \mathbb{N}$; $q \ge (d+1)n$ be a prime; and let $\bar{a} \in \{0, \dots, q-1\}^{d+1}$. Define for $x \in \{0, \dots, q-1\}$

$$h_{\bar{a}}(x) := \left(\sum_{i=0}^{d} a_i x^i \mod q\right) \mod n \; .$$

Let $\mathcal{H}_n^d := \{h_{\bar{a}} \mid \bar{a} \in \{0, \dots, q-1\}^{d+1}\}$. The class \mathcal{H}_n^d is (e, d+1)-independent.

Note that in the previous case we had d = 1 and chose $a_d \neq 0$.





5.9 Hashing

19. Dec. 2022 241/279

For the coefficients $\bar{a} \in \{0, \dots, q-1\}^{d+1}$ let $f_{\bar{a}}$ denote the polynomial

$$f_{\tilde{a}}(x) = \left(\sum_{i=0}^{d} a_i x^i\right) \mod q$$



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The polynomial is defined by d + 1 distinct points.



Fix $\ell \le d + 1$; let $x_1, \ldots, x_\ell \in \{0, \ldots, q - 1\}$ be keys, and let t_1, \ldots, t_ℓ denote the corresponding hash-function values.

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 $h_{\tilde{a}} \in A^{\ell} \Leftrightarrow h_{\tilde{a}} = f_{\tilde{a}} \bmod n$ and

$$f_{\bar{a}}(x_i) \in \underbrace{\{t_i + \alpha \cdot n \mid \alpha \in \{0, \dots, \lceil \frac{q}{n} \rceil - 1\}\}}_{=:B_i}$$

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We first fix the values for inputs x_1, \ldots, x_ℓ . We have

 $|B_1| \cdot \ldots \cdot |B_\ell|$

possibilities to do this (so that $h_{\bar{a}}(x_i) = t_i$).

Now, we choose $d - \ell + 1$ other inputs and choose their value arbitrarily. We have $q^{d-\ell+1}$ possibilities to do this.



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Therefore we have

$$|B_1| \cdot \ldots \cdot |B_\ell| \cdot q^{d-\ell+1} \leq \lceil \frac{q}{n} \rceil^\ell \cdot q^{d-\ell+1}$$

possibilities to choose \bar{a} such that $h_{\bar{a}} \in A_{\ell}$.



Therefore the probability of choosing $h_{\tilde{a}}$ from A_{ℓ} is only

 $\frac{\lceil \frac{q}{n} \rceil^{\ell} \cdot q^{d-\ell+1}}{q^{d+1}}$



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Universal Hashing

Therefore the probability of choosing $h_{\tilde{a}}$ from A_{ℓ} is only

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This shows that the \mathcal{H} is (e, d + 1)-universal.

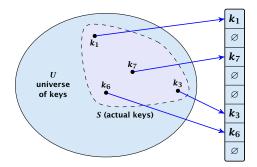
The last step followed from $q \ge (d+1)n$, and $\ell \le d+1$.



5.9 Hashing

19. Dec. 2022 244/279

Suppose that we **know** the set S of actual keys (no insert/no delete). Then we may want to design a **simple** hash-function that maps all these keys to different memory locations.





5.9 Hashing



5.9 Hashing

19. Dec. 2022 246/279

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Can we get an upper bound on the probability of having collisions?

The probability of having 1 or more collisions can be at most $\frac{1}{2}$ as otherwise the expectation would be larger than $\frac{1}{2}$.





5.9 Hashing

19. Dec. 2022 247/279

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19. Dec. 2022 247/279

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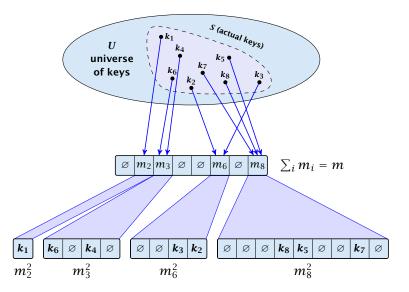
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However, a hash-table size of $n = m^2$ is very very high.

We construct a two-level scheme. We first use a hash-function that maps elements from S to m buckets.

Let m_j denote the number of items that are hashed to the *j*-th bucket. For each bucket we choose a second hash-function that maps the elements of the bucket into a table of size m_j^2 . The second function can be chosen such that all elements are mapped to different locations.









5.9 Hashing

19. Dec. 2022 249/279

The total memory that is required by all hash-tables is $\mathcal{O}(\sum_j m_j^2)$. Note that m_j is a random variable.

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$$= 2\binom{m}{2}\frac{1}{m} + m = 2m - 1 \quad .$$



5.9 Hashing

19. Dec. 2022 249/279

We need only $\mathcal{O}(m)$ time to construct a hash-function h with $\sum_j m_j^2 = \mathcal{O}(4m)$, because with probability at least 1/2 a random function from a universal family will have this property.

Then we construct a hash-table h_j for every bucket. This takes expected time $\mathcal{O}(m_j)$ for every bucket. A random function h_j is collision-free with probability at least 1/2. We need $\mathcal{O}(m_j)$ to test this.

We only need that the hash-functions are chosen from a universal family!!!





5.9 Hashing

Goal:

Try to generate a hash-table with constant worst-case search time in a dynamic scenario.



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Goal:

Try to generate a hash-table with constant worst-case search time in a dynamic scenario.

- ► Two hash-tables T₁[0,..., n 1] and T₂[0,..., n 1], with hash-functions h₁, and h₂.
- ► An object x is either stored at location T₁[h₁(x)] or T₂[h₂(x)].



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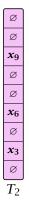
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- ► Two hash-tables T₁[0,..., n 1] and T₂[0,..., n 1], with hash-functions h₁, and h₂.
- An object x is either stored at location $T_1[h_1(x)]$ or $T_2[h_2(x)]$.
- A search clearly takes constant time if the above constraint is met.



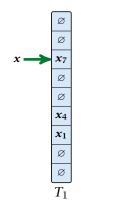
Insert:

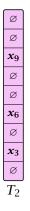






Insert:

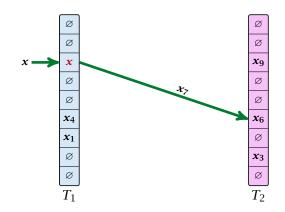






5.9 Hashing

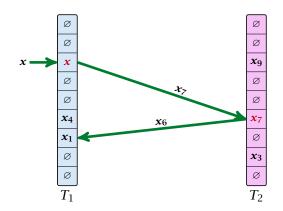
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5.9 Hashing

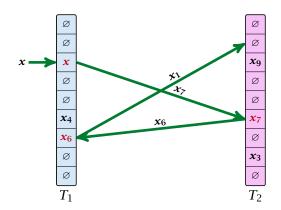
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5.9 Hashing

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5.9 Hashing

```
Algorithm 38 Cuckoo-Insert(x)
1: if T_1[h_1(x)] = x \lor T_2[h_2(x)] = x then return
 2: steps \leftarrow 1
 3: while steps \leq maxsteps do
4:
    exchange x and T_1[h_1(x)]
 5: if x = null then return
6: exchange x and T_2[h_2(x)]
7: if x = null then return
 8:
     steps \leftarrow steps +1
 9: rehash() // change hash-functions; rehash everything
10: Cuckoo-Insert(x)
```



We call one iteration through the while-loop a step of the algorithm.



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- We call a sequence of iterations through the while-loop without the termination condition becoming true a phase of the algorithm.
- We say a phase is successful if it is not terminated by the maxstep-condition, but the while loop is left because x = null.





5.9 Hashing

What is the expected time for an insert-operation?



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We first analyze the probability that we end-up in an infinite loop (that is then terminated after maxsteps steps).



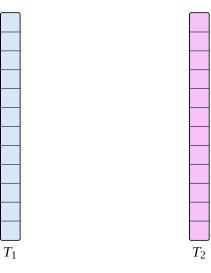
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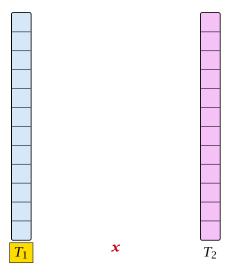
Formally what is the probability to enter an infinite loop that touches *s* different keys?





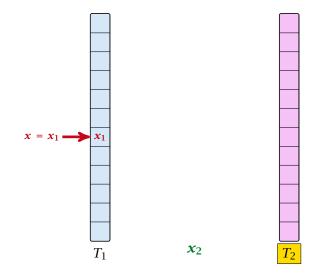


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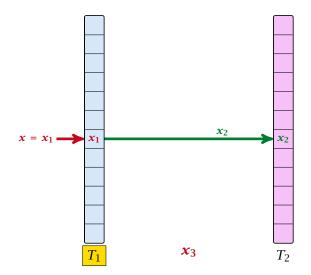


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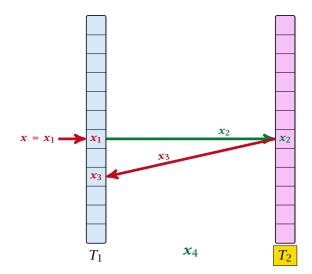


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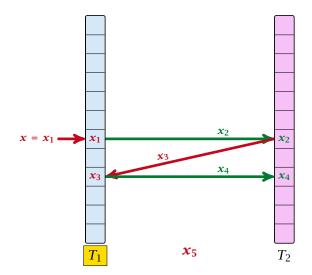


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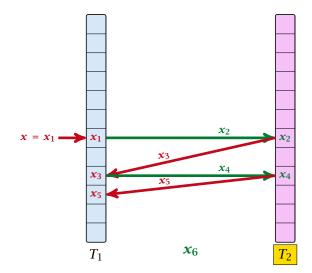


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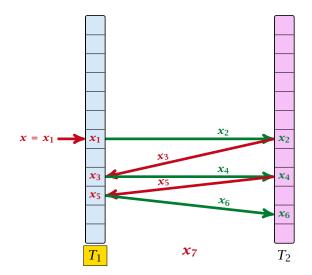


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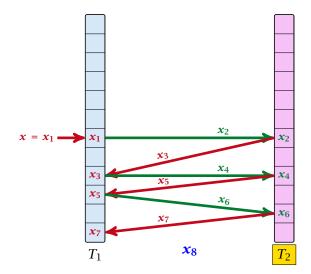


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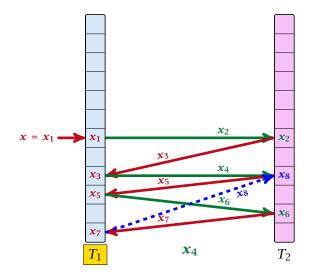


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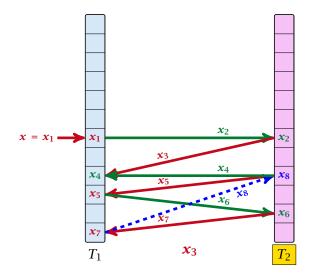


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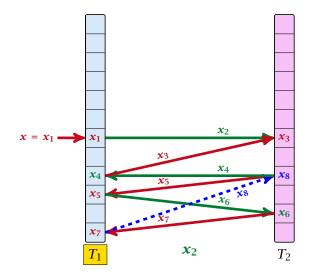


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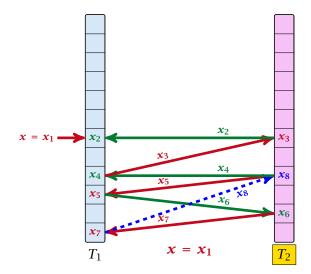


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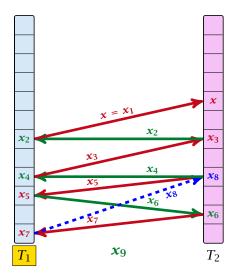


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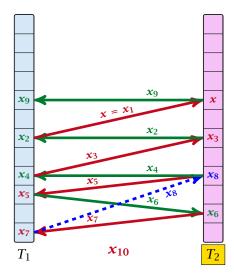


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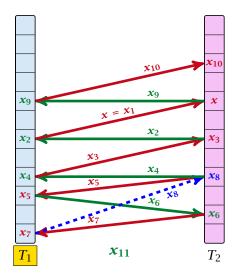


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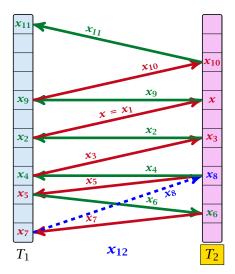


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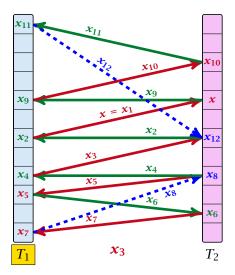


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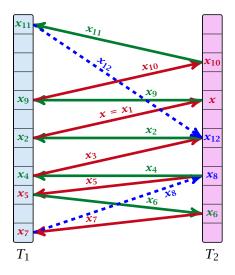


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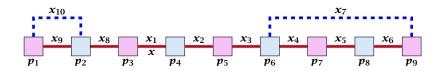


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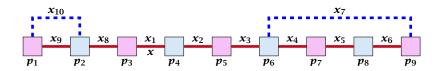
5.9 Hashing



A cycle-structure of size *s* is defined by



5.9 Hashing

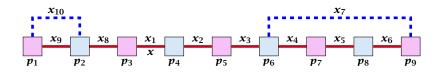


A cycle-structure of size *s* is defined by

▶ s - 1 different cells (alternating btw. cells from T_1 and T_2).



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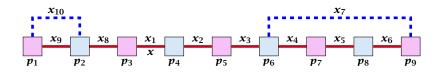


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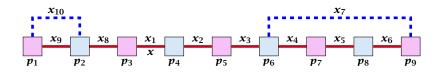
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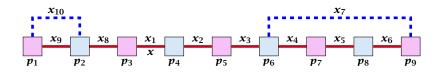




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- The leftmost cell is "linked forward" to some cell on the right.
- The rightmost cell is "linked backward" to a cell on the left.
- One link represents key x; this is where the counting starts.



A cycle-structure is active if for every key x_{ℓ} (linking a cell p_i from T_1 and a cell p_j from T_2) we have

$$h_1(x_{\ell}) = p_i$$
 and $h_2(x_{\ell}) = p_j$



5.9 Hashing

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 and $h_2(x_{\ell}) = p_j$

Observation:

If during a phase the insert-procedure runs into a cycle there must exist an active cycle structure of size $s \ge 3$.



What is the probability that all keys in a cycle-structure of size s correctly map into their T_1 -cell?



5.9 Hashing

What is the probability that all keys in a cycle-structure of size s correctly map into their T_1 -cell?

This probability is at most $\frac{\mu}{n^s}$ since h_1 is a (μ, s) -independent hash-function.



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These events are independent.



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What is the probability that there exists an active cycle structure of size *s*?



The number of cycle-structures of size *s* is at most

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- There are n^{s-1} possibilities to choose the cells.



The probability that there exists an active cycle-structure is therefore at most

$$\sum_{s=3}^{\infty} s^3 \cdot n^{s-1} \cdot m^{s-1} \cdot \frac{\mu^2}{n^{2s}}$$



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Hence,

$$\Pr[\mathsf{cycle}] = \mathcal{O}\left(\frac{1}{m^2}\right)$$
.

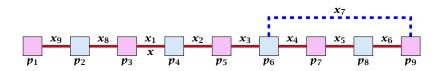


5.9 Hashing

19. Dec. 2022 262/279

Now, we analyze the probability that a phase is not successful without running into a closed cycle.





Sequence of visited keys:

 $x = x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_3, x_2, x_1 = x, x_8, x_9, \dots$



Consider the sequence of not necessarily distinct keys starting with x in the order that they are visited during the phase.



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Lemma 26 If the sequence is of length p then there exists a sub-sequence of at least $\frac{p+2}{3}$ keys starting with x of distinct keys.



Proof.

Let i be the number of keys (including x) that we see before the first repeated key. Let j denote the total number of distinct keys.

The sequence is of the form:

 $x = x_1 \rightarrow x_2 \rightarrow \cdots \rightarrow x_i \rightarrow x_r \rightarrow x_{r-1} \rightarrow \cdots \rightarrow x_1 \rightarrow x_{i+1} \rightarrow \cdots \rightarrow x_j$

As $r \leq i - 1$ the length p of the sequence is

 $p=i+r+(j-i)\leq i+j-1\ .$



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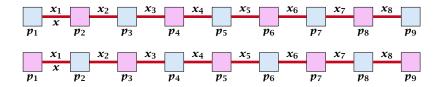
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As $r \leq i - 1$ the length p of the sequence is

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Either sub-sequence $x_1 \rightarrow x_2 \rightarrow \cdots \rightarrow x_i$ or sub-sequence $x_1 \rightarrow x_{i+1} \rightarrow \cdots \rightarrow x_j$ has at least $\frac{p+2}{3}$ elements.



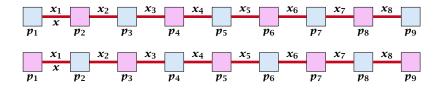


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5.9 Hashing

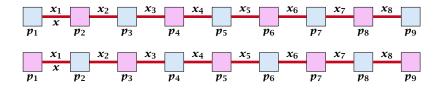
19. Dec. 2022 267/279



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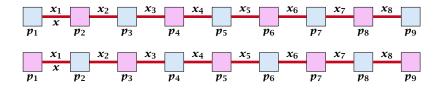




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- ▶ *s* distinct keys $x = x_1, x_2, ..., x_s$, linking the cells.
- The leftmost cell is either from T_1 or T_2 .



A path-structure is active if for every key x_{ℓ} (linking a cell p_i from T_1 and a cell p_j from T_2) we have

$$h_1(x_{\ell}) = p_i$$
 and $h_2(x_{\ell}) = p_j$

Observation:

If a phase takes at least t steps without running into a cycle there must exist an active path-structure of size (2t + 2)/3.



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5.9 Hashing

19. Dec. 2022 269/279

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5.9 Hashing

19. Dec. 2022 270/279

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This gives maxsteps = $\Theta(\log m)$.



So far we estimated

$$\Pr[\mathsf{cycle}] \le \mathcal{O}\Big(rac{1}{m^2}\Big)$$

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5.9 Hashing

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This means the expected cost for a successful phase is constant (even after accounting for the cost of the incomplete step that finishes the phase).





5.9 Hashing

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Therefore the expected cost for re-hashes is $\mathcal{O}(m) \cdot \mathcal{O}(p) = \mathcal{O}(1)$.



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$$\begin{split} \mathbf{E}[X_i^{S}] &= \mathbf{E}[\mathsf{steps} \mid \mathsf{phase \ successful}] \cdot \Pr[\mathsf{phase \ successful}] \\ &+ \max \mathsf{steps} \cdot \Pr[\mathsf{not \ successful}] \end{split}$$

Formal Proof

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5.9 Hashing

19. Dec. 2022 276/279

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Note that Z_i is independent of X_j^s , $j \ge i$ (however, it is not independent of X_j^s , j < i). Hence,

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5.9 Hashing

19. Dec. 2022 276/279

What kind of hash-functions do we need?



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19. Dec. 2022 277/279

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Therefore, it is sufficient to have $(\mu, \Theta(\log m))$ -independent hash-functions.



How do we make sure that $n \ge (1 + \epsilon)m$?

```
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- Keep track of the number of elements in the table. When $m \ge \alpha n$ we double n and do a complete re-hash (table-expand).



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- Note that right after a change in table-size we have $m = \alpha n/2$. In order for a table-expand to occur at least $\alpha n/2$ insertions are required. Similar, for a table-shrink at least $\alpha n/4$ deletions must occur.
- Therefore we can amortize the rehash cost after a change in table-size against the cost for insertions and deletions.



Lemma 27

Cuckoo Hashing has an expected constant insert-time and a worst-case constant search-time.



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Note that the above lemma only holds if the fill-factor (number of keys/total number of hash-table slots) is at most $\frac{1}{2(1+\epsilon)}$.

