

## 7.6 Skip Lists

### Why do we not use a list for implementing the ADT Dynamic Set?

- ▶ time for search  $\Theta(n)$
- ▶ time for insert  $\Theta(n)$  (dominated by searching the item)
- ▶ time for delete  $\Theta(1)$  if we are given a handle to the object, otw.  $\Theta(n)$



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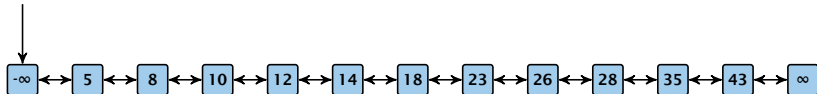
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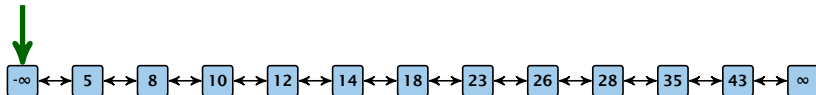
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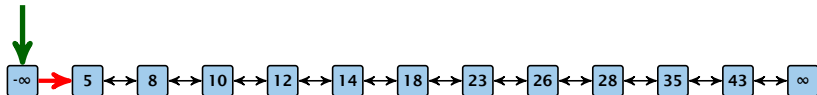
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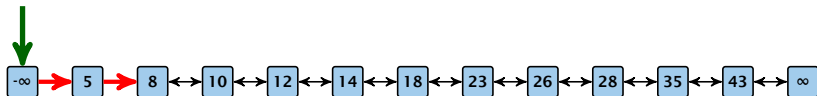
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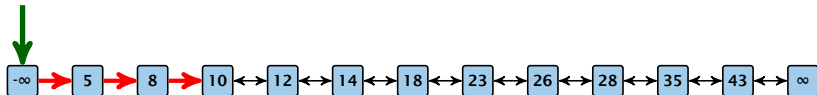
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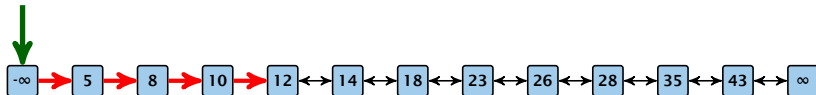
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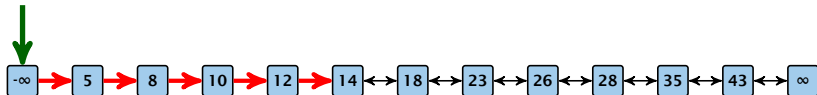




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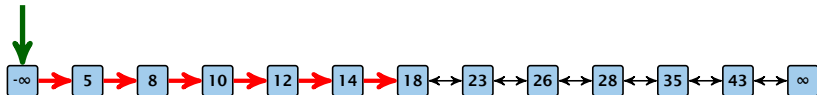
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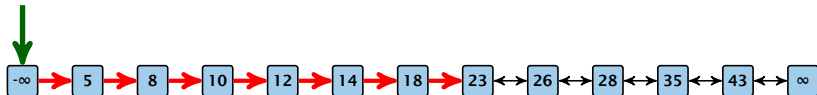
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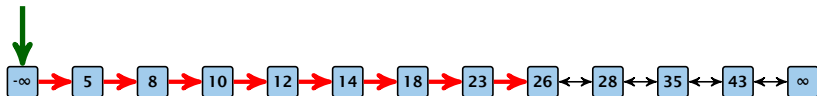
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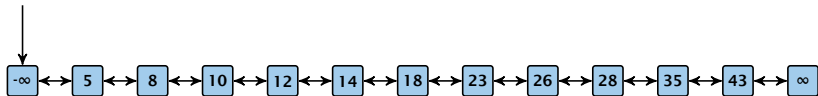
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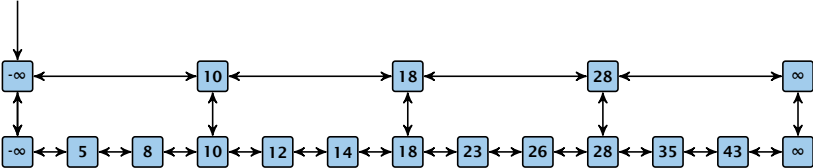
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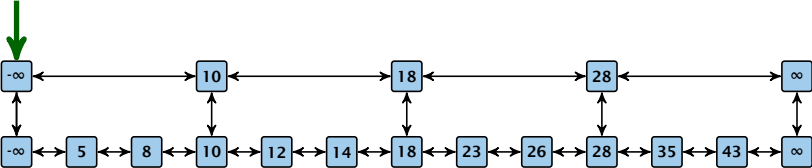




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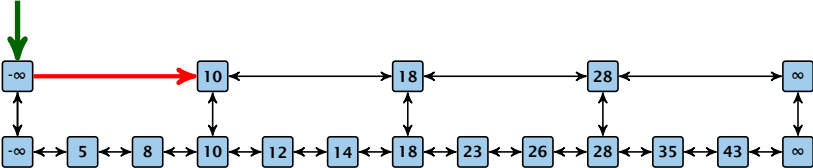
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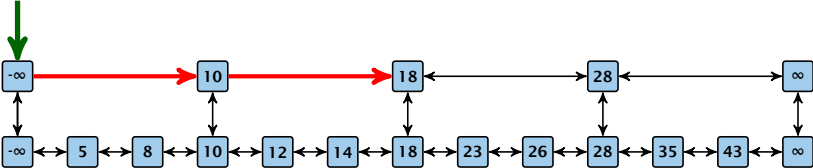
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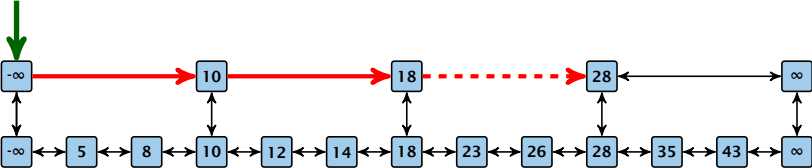
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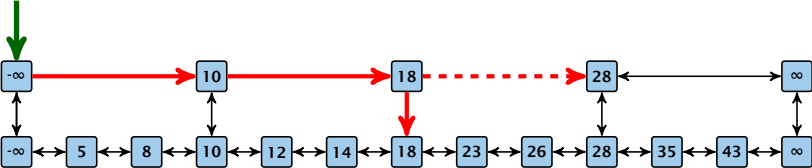
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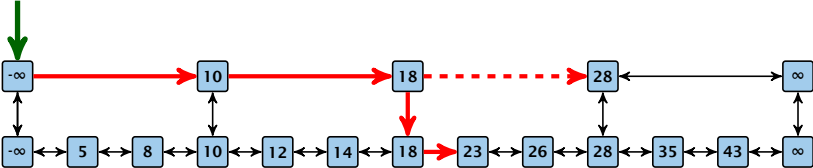
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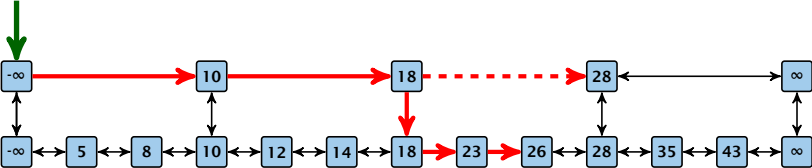
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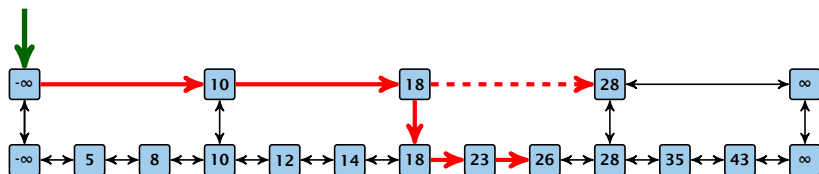
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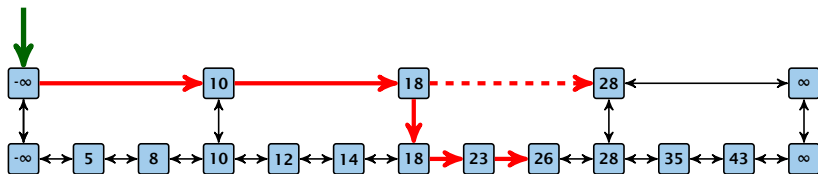
Let  $|L_1|$  denote the number of elements in the “express lane”, and  $|L_0| = n$  the number of all elements (ignoring dummy elements).



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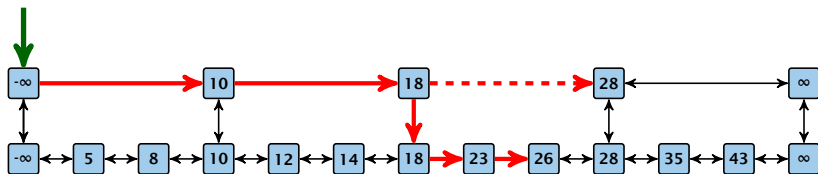
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Choose  $|L_1| = \sqrt{n}$ . Then search time  $\Theta(\sqrt{n})$ .

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Add more express lanes. Lane  $L_i$  contains roughly every  $\frac{L_{i-1}}{L_i}$ -th item from list  $L_{i-1}$ .

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- ▶ Find the largest item in list  $L_{k-2}$  that is smaller than  $x$ . At most  $\lceil \frac{|L_{k-2}|}{4} \rceil + 2$  steps.
- ▶ ...



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- ▶ At most  $|L_k| + \sum_{i=1}^k \frac{L_{i-1}}{L_i} + 3(k + 1)$  steps.

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Choose ratios between list-lengths evenly, i.e.,  $\frac{|L_{i-1}|}{|L_i|} = r$ , and, hence,  $L_k \approx r^{-k}n$ .

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Choosing  $k = \Theta(\log n)$  gives a logarithmic running time.



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### How to do insert and delete?

How many nodes in a skip list are affected by the insert/delete of elements? How many insert/delete may require a lot of reorganization?

Use randomization instead!

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### How to do insert and delete?

- ▶ If we want that in  $L_i$  we always skip over roughly the same number of elements in  $L_{i-1}$  an insert or delete may require a lot of re-organisation.

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### Insert:

- ▶ A search operation gives you the insert position for element  $x$  in every list.
- ▶ Flip a coin until it shows head, and record the number  $t \in \{1, 2, \dots\}$  of trials needed.
- ▶ Insert  $x$  into lists  $L_0, \dots, L_{t-1}$ .

### Delete:

- ▶ You get all predecessors via backward pointers.
- ▶ Delete  $x$  in all lists it actually appears in.

The time for both operations is dominated by the search time.

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Find all nodes on the backward pointers.

Remove all nodes which appear in.

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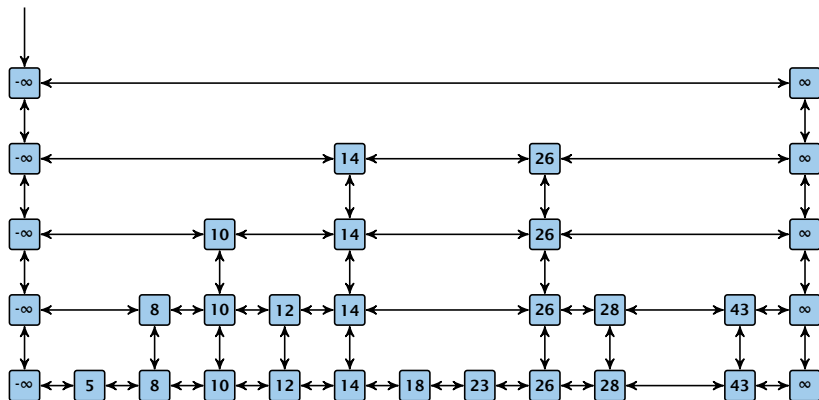
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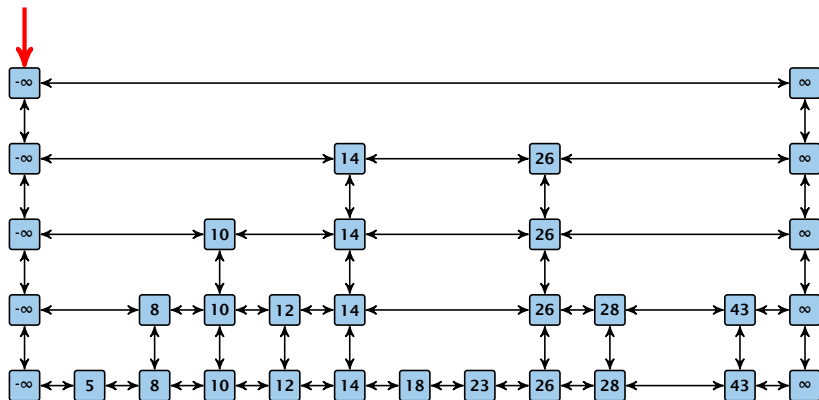
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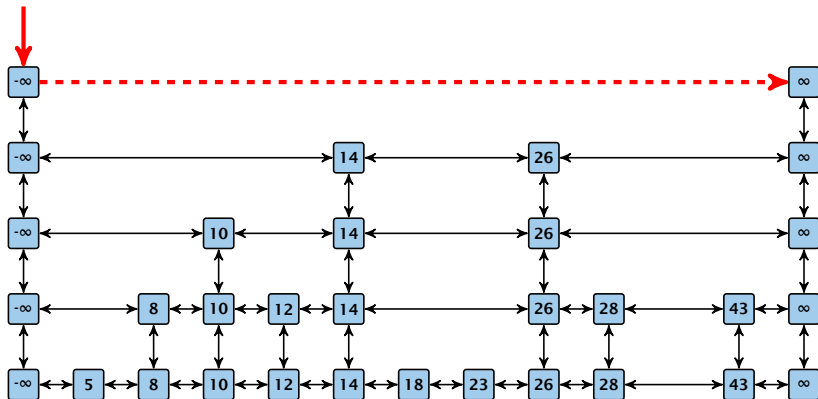
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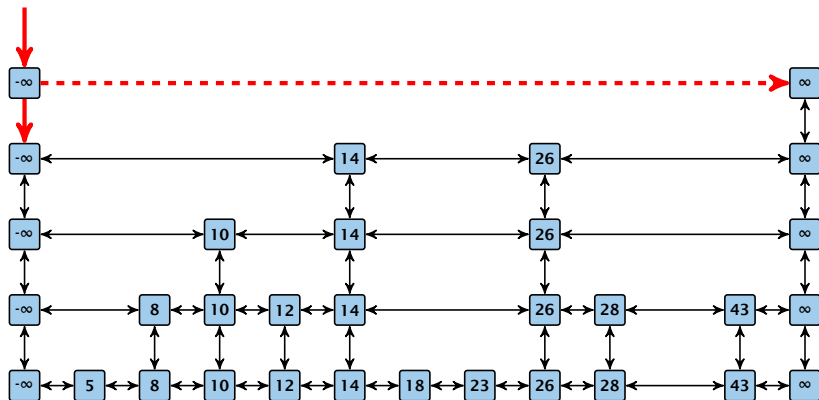
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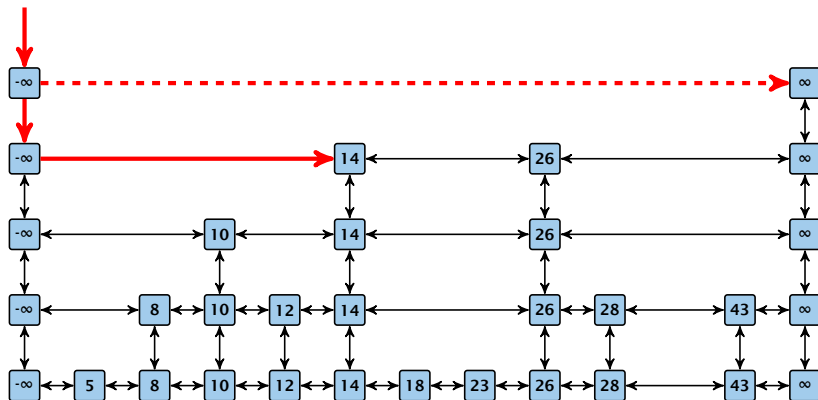
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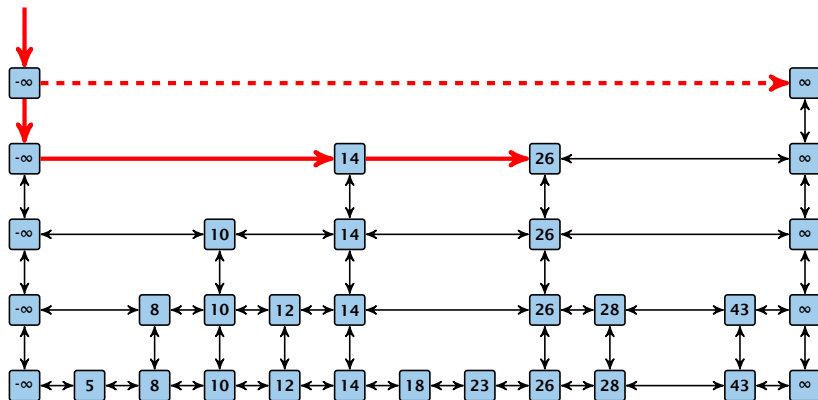
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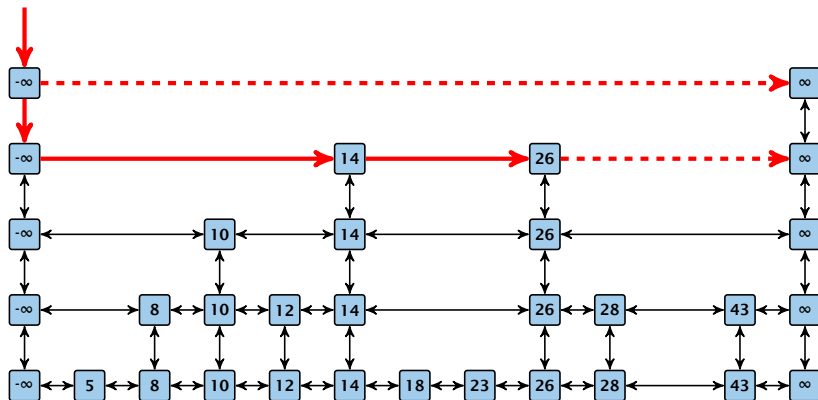
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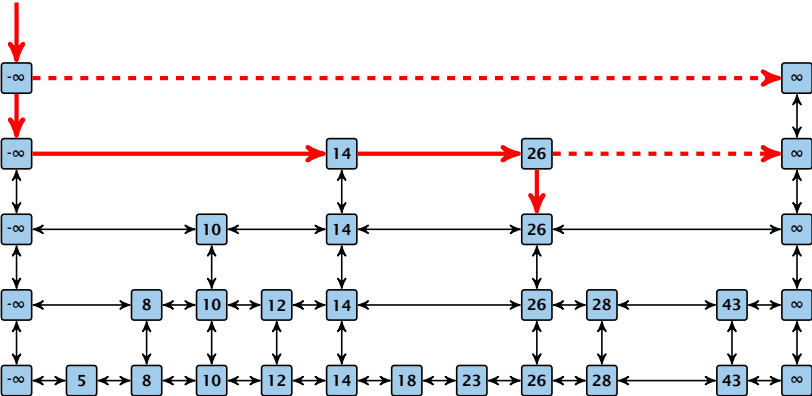
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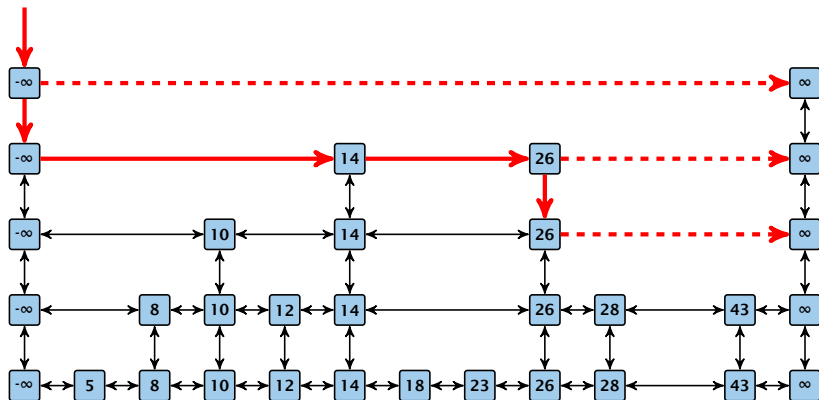
# 7.6 Skip Lists

Insert (35):



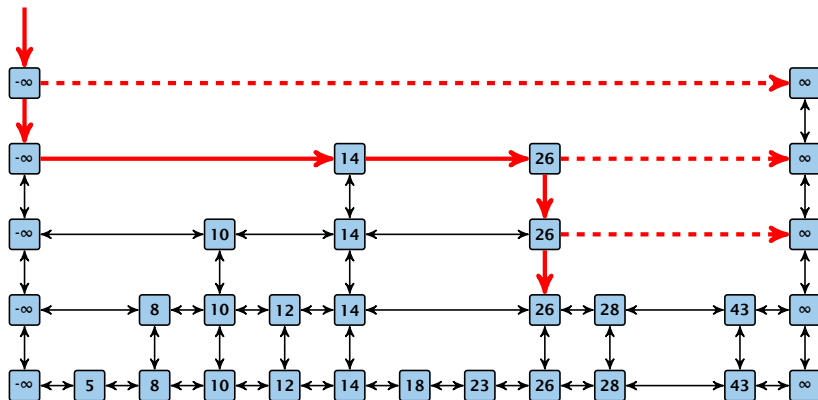
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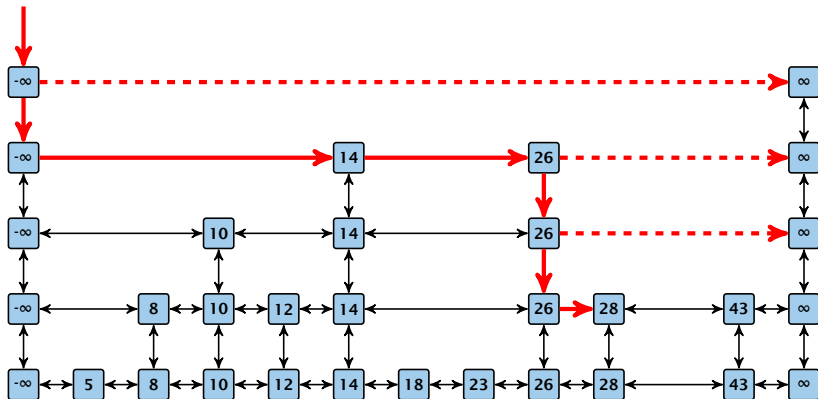
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Insert (35):



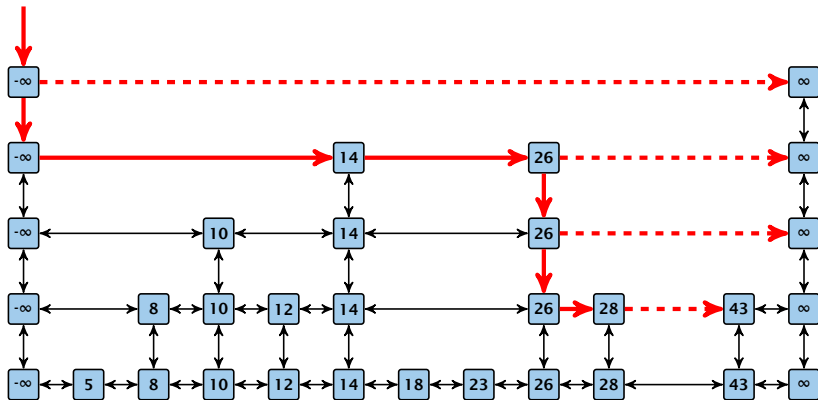
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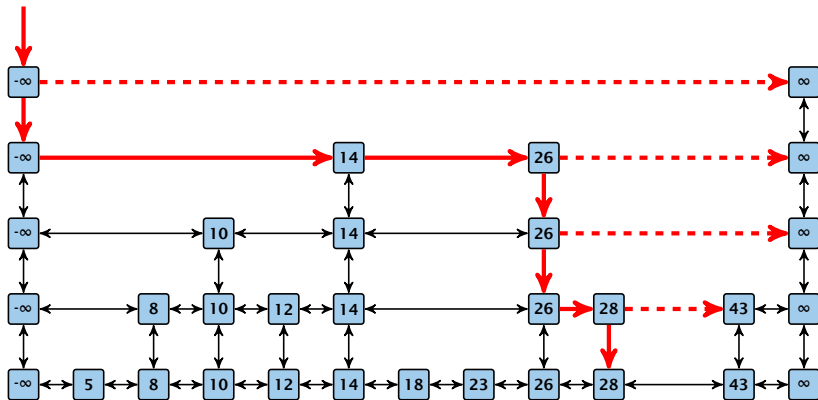
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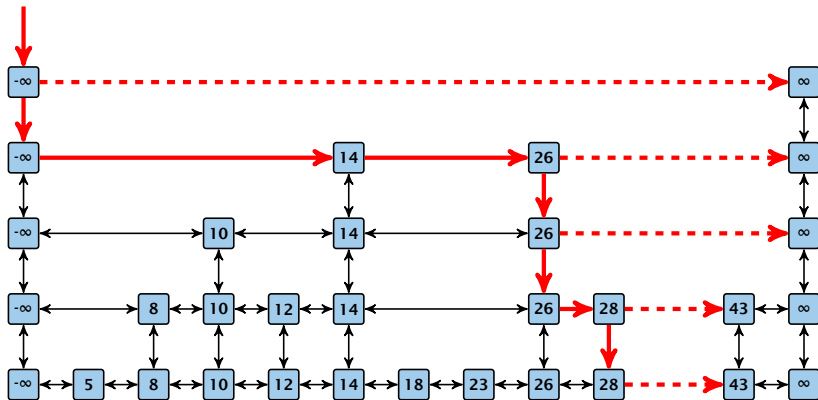
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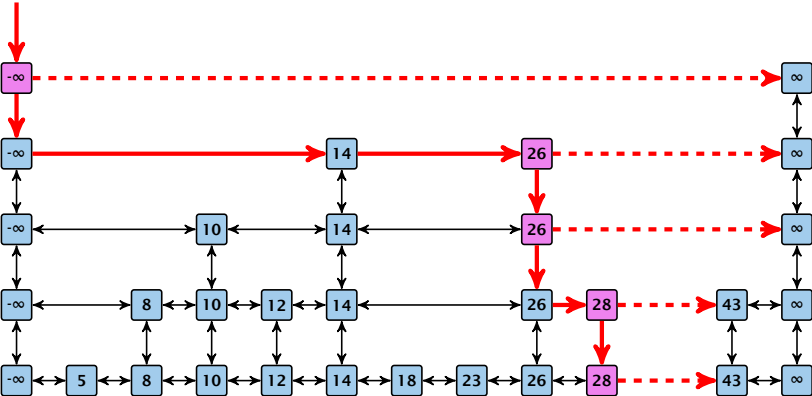
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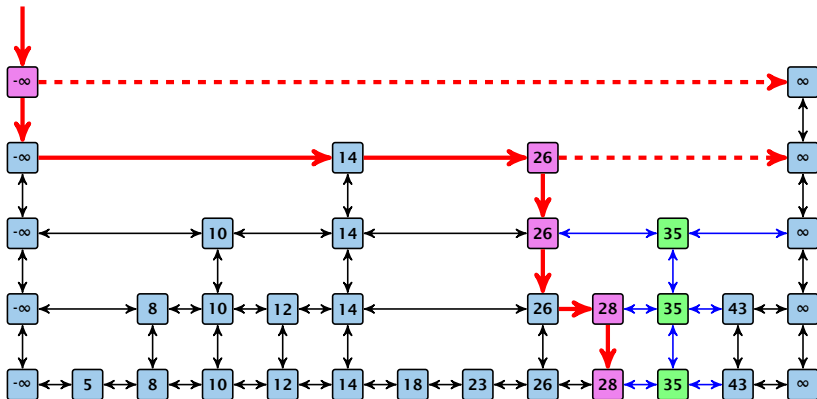
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# High Probability

## Definition 1 (High Probability)

We say a **randomized** algorithm has running time  $\mathcal{O}(\log n)$  with **high probability** if for any constant  $\alpha$  the running time is at most  $\mathcal{O}(\log n)$  with probability at least  $1 - \frac{1}{n^\alpha}$ .

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Suppose there are **polynomially** many events  $E_1, E_2, \dots, E_\ell$ ,  $\ell = n^c$  each holding with high probability (e.g.  $E_i$  may be the event that the  $i$ -th search in a skip list takes time at most  $\mathcal{O}(\log n)$ ).

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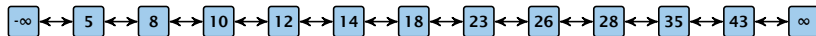
## 7.6 Skip Lists

### Lemma 2

*A search (and, hence, also insert and delete) in a skip list with  $n$  elements takes time  $\mathcal{O}(\log n)$  with high probability (w. h. p.).*

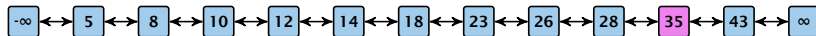
## 7.6 Skip Lists

Backward analysis:



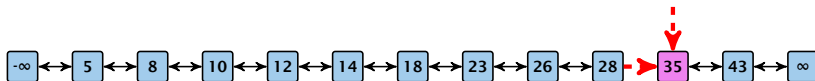
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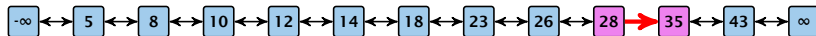
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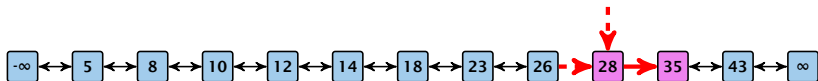
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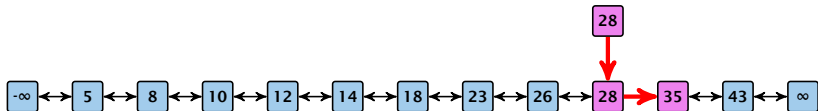
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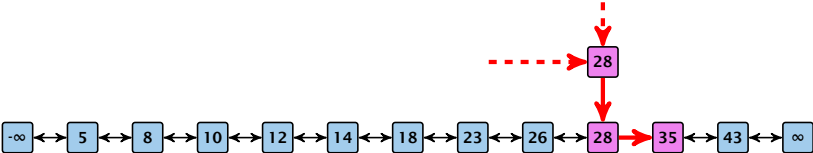
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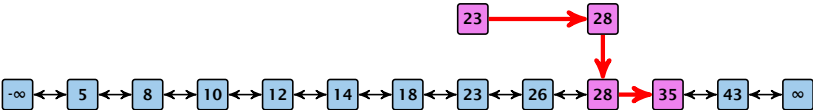
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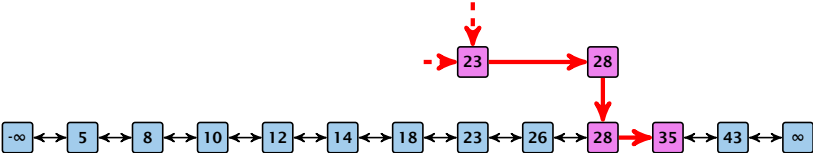
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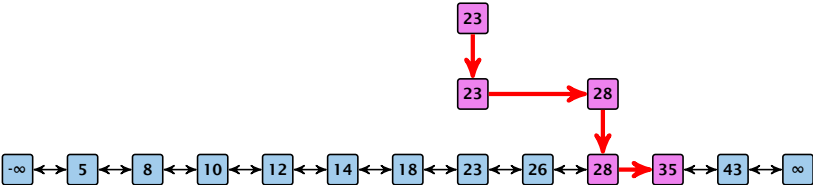
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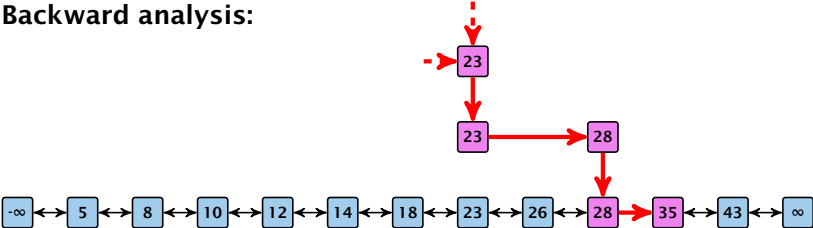
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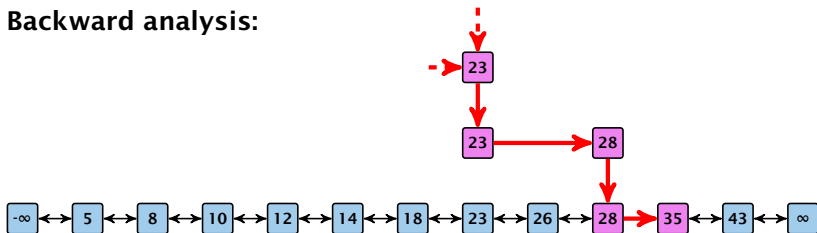
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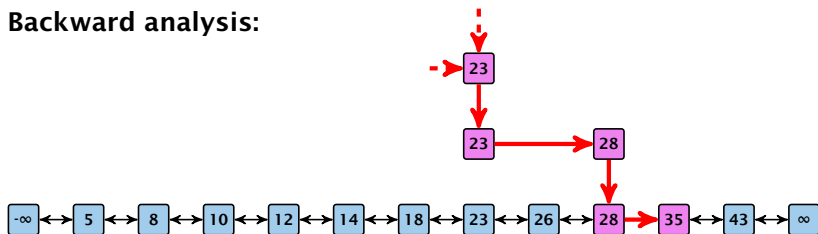


At each point the path goes up with probability  $1/2$  and left with probability  $1/2$ .



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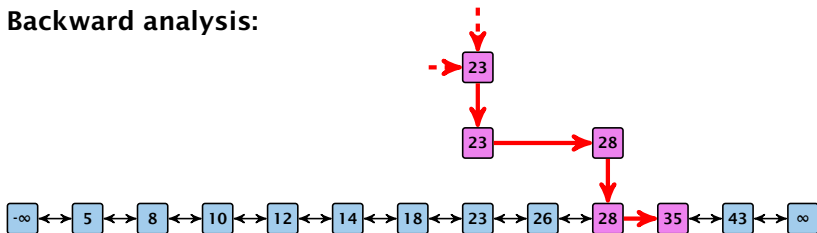
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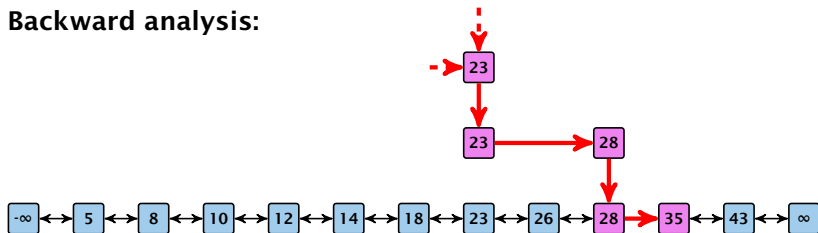
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At each point the path goes up with probability  $1/2$  and left with probability  $1/2$ .

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- ▶ A “long” search path must also go very high.
- ▶ There are no elements in high lists.

From this it follows that w.h.p. there are no long paths.

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In particular, this means that during the construction in the backward analysis we see at most  $k$  heads (i.e., coin flips that tell you to go up) in  $z$  trials.

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choosing  $k = y \log n$  with  $y \geq 1$  and  $z = (\beta + \alpha)y \log n$

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For the search to take at least  $z = 7\alpha\gamma \log n$  steps either the event  $E_{z,k}$  or the event  $A_{k+1}$  must hold.

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$$\Pr[A_{k+1}] \leq n2^{-(k+1)} \leq n^{-(\gamma-1)} .$$

For the search to take at least  $z = 7\alpha\gamma \log n$  steps either the event  $E_{z,k}$  or the event  $A_{k+1}$  must hold.

Hence,

$$\Pr[\text{search requires } z \text{ steps}]$$

## 7.6 Skip Lists

So far we fixed  $k = \gamma \log n$ ,  $\gamma \geq 1$ , and  $z = 7\alpha\gamma \log n$ ,  $\alpha \geq 1$ .

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This means, the search requires at most  $z$  steps, w. h. p.